# Introduction to cryptology (GBIN8U16) Message Authentication Codes, Authenticated Encryption

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MACs, AE

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Crypto is not all about encrypting. One may also want to:

- Get access to a building/car/spaceship
- Electronically sign a contract/software/Git repository
- Detect tampering on a message
- Detect "identity theft"
- Etc.

 $\Rightarrow$  domain of digital signatures and/or message authentication codes (MACs)

# A major rule

In the case of a symmetric channel with potentially active adversaries (e.g. on a network):

- It may be fine to only authenticate
- It is never okay to only encrypt
- $\Rightarrow$  "Authenticated encryption" (This is hard to do properly.)

### Message authentication code (MAC)

A MAC is a mapping  $\mathcal{M} : \mathcal{K}(\times \mathcal{N}) \times \mathcal{X} \to \mathcal{T}$  that maps a key, message (and possibly a (random) nonce) to a *tag*.

- $\mathcal{K}$  is for instance  $\{0,1\}^{128}$  (key space, secret)
- $\mathcal{X}$  is for instance  $\{0,1\}^*$  (message space)
- $\mathcal{T}$  is for instance  $\{0,1\}^{256}$  ("tag" space)
- $\Rightarrow$  The tag is a "link" between a message and a key
  - Note: MACs are not the *only* way to provide authentication

Given a MAC  $\mathcal{M}(k,\cdot)$  with an unknown key, it should be hard to:

- Given *m*, find *t* s.t.  $\mathcal{M}(k, m) = t$  (Universal forgery)
- Find m, t s.t.  $\mathcal{M}(k, m) = t$  (Existential forgery)
- (Of course, retrieving k leads to those)

UF: ability to forge a tag for **any** message EF: ability to forge a tag for **some** messages UF  $\Rightarrow$  EF More generally, we want  $\mathcal{M}(k, \cdot)$  to be like a "variable input-length (pseudo-) random function"  $\rightsquigarrow$  (VIL-) PRF security:

- An adversary has access to an oracle  $\mathbb O$
- In one world,  $\mathbb{O} \leftarrow \mathsf{Func}(\mathcal{X}, \mathcal{T})$
- In another,  $k \twoheadleftarrow \mathcal{K}$ ,  $\mathbb{O} = \mathcal{M}(k, \cdot)$
- The adversary cannot tell in which world he lives

Where  $\mathsf{Func}(\mathcal{X},\mathcal{T})$  are the functions from the message to the tag space

 $\rightsquigarrow$  Define  $Adv^{\mathsf{PRF}}$  in the same way as  $Adv^{\mathsf{PRP}}$ 

VIL-PRF  $\Rightarrow$  UF, but the converse is not true (Exercise: can you show why?)

- From scratch
- Using a block cipher in a "MAC mode"
- Ditto, with a hash function
- Using a "polynomial" hash function
- Etc.

Observation:

- The last block of CBC-ENC(m) "strongly depends" on the entire message
- $\rightarrow$  Take MAC(m) = LastBlockOf(CBC-ENC(m))
- Not quite secure as is, but overall a sound idea

Advantage:

"Only" needs a block cipher

Disadvantage:

Not the fastest approach

If  $\mathcal{H}: \{0,1\}^* \to \{0,1\}^n$  is a hash function, one may define:

- ▶ PrefixMAC<sub>*H*</sub> :  $\{0,1\}^{\kappa} \times \{0,1\}^{*} \rightarrow \{0,1\}^{n}$  as PrefixMAC<sub>*H*</sub>(*k*, *m*) = *H*(*k*||*m*)
- ▶ SuffixMAC<sub>*H*</sub> :  $\{0,1\}^{\kappa} \times \{0,1\}^{*} \rightarrow \{0,1\}^{n}$  as SuffixMAC<sub>*H*</sub>(*k*, *m*) = *H*(*m*||*k*)
- (Note that  $\operatorname{PrefixMAC}_{\mathcal{H}} \approx \operatorname{SuffixMAC}_{\mathcal{H}^{\triangleleft}}$ , where  $\mathcal{H}^{\triangleleft}$  is  $\mathcal{H}$  "reversed")

These constructions are fine *generically* but may be weak for some specific hash functions

Let  ${\mathcal H}$  be a narrow-pipe Merkle-Damgård hash function

- Let  $h = \mathcal{H}(m)$  for some m
- ▶ Then  $\mathcal{H}(pad(m)||m') = \mathcal{H}_h(m')$  (with  $\mathcal{H}_h(\cdot)$  the function  $\mathcal{H}$  with its IV replaced by h)

What consequence for the security of  $PrefixMAC_{\mathcal{H}}$ ?

- Assume an adversary knows  $m, t = \operatorname{PrefixMAC}_{\mathcal{H}}(k, m)$  and  $\kappa = |k|$
- For the t' =  $\mathcal{H}'_t(m')$  = PrefixMAC $_{\mathcal{H}}(k, \mathsf{pad}(m) \| m')$ 
  - $(\mathcal{H}' \text{ is } \mathcal{H} \text{ with an appropriately modified padding})$
- $\Rightarrow$  Existential forgeries are trivial!
- (NB: Problems also exist for  $\texttt{SuffixMAC}_{\mathcal{H}}$ )
- (NB: Similar attacks apply to raw CBC-MAC from two slides ago)

How to defend against the previous attack?

- Use a better H framework, e.g. a wide-pipe Merkle-Damgård hash function (e.g. SHA-512/256) or a sponge (e.g. SHA-3)
- Use a Sandwich MAC construction (e.g. HMAC, SandwichMAC, ...)

HMAC (Bellare et al., 1996):

- Let  $\mathcal{H}$  be a hash function with *b*-bit blocks, pad a function that pads to *b* bits with zeroes, opad =  $0x36^{b/8}$ , ipad =  $0x5C^{b/8}$
- Then

 $\texttt{HMAC}_{\mathcal{H}}(k,m) = \mathcal{H}(\texttt{pad}(k) \oplus \texttt{opad} || \mathcal{H}(\texttt{pad}(k) \oplus \texttt{ipad} || m))$ 

### HMAC facts

- HMAC is secure up to the birthday bound (of its hash function)
- It only needs *black-box* calls to a hash function ⇒ simple to implement (if one has internal access to the hash function, the NMAC variant is slightly more efficient)
- It is popular (widespread use in e.g. TLS)
- It is overkill if  $\mathcal{H}$  is e.g. wide-pipe
- Some variants exist, some being more efficient

Block cipher and Hash-based MACs both use a black box to build a MAC, but

- Block cipher block sizes are usually "small" (e.g. 64/128 bits)
  → somewhat limited generic security
- Hash functions are more efficient at processing large amounts of data
- $\Rightarrow$  Hash-based MACs tend to be used more than block cipher-based
  - But both loose in speed against polynomial MACs (e.g. VMAC) or dedicated constructions (e.g. PelicanMAC)

The "modern" view:

If you must never encrypt w/o authentication, why separating the two?  $\Rightarrow$  Authenticated-Encryption

- Maybe more efficient (less redundancy)?
- Maybe more secure (no careless combinations)?
- Maybe more complex
- ~ AEAD (Authenticated-Encryption with Associated Data)

### AEAD

#### AEAD

An AEAD scheme is a pair of mappings  $(\mathcal{E}, \mathcal{D})$  with:  $\mathcal{E} : \mathcal{K} \times \mathcal{N} \times \mathcal{A} \times \mathcal{X} \rightarrow \mathcal{C}$  $\mathcal{D} : \mathcal{K} \times \mathcal{C} \rightarrow \mathcal{X} \cup \{ \bot \}$ 

- *E* encrypts a message from *X* with a key and a nonce, and authenticates it together with associated data from *A*
- D decrypts a ciphertext and returns the message if authentication is successful, or ⊥ ("bottom") otherwise
- Security is typically analysed w.r.t. IND-CPA (for confidentiality) and IND-CTXT (for integrity)

# AEAD designs

An AEAD scheme can be built in many ways:

- By combining a BC mode w/ a MAC (e.g. CCM: CTR mode + a CBC-MAC; GCM: CTR mode + a polynomial MAC)
- As a single BC mode (e.g. OCB)
- From a permutation/sponge consruction (e.g. Keyak)
- From a hash function (e.g. OMD)
- From a variable input-length wide-block block cipher (e.g. AEZ)
- Etc.

### AEAD: A quick hash function example

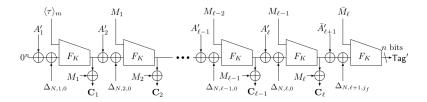


Figure: The p-OMD mode (excerpt; source: p-OMD specifications)

*pure Offset Merkle-Damgård* (Reyhanitabar et al., 2015), based on a keyed hash function (e.g. SHA-256 w/ semi-secret message)

If  $\mathcal{E} : \mathcal{K} \times \{0,1\}^n \to \{0,1\}^n$  is a block cipher, one can encrypt *and* authenticate any message *m* of fixed length *b* < *n* by:

- Computing  $c = \mathcal{E}(k, m || 0^{n-b} || r)$
- Decrypting c to m iff.  $\mathcal{E}^{-1}(k,c) = m ||0^{n-b}|| *$

If  $\mathcal{E}$  is "good" (w.r.t. the *SPRP* security notion (Q: why isn't PRP enough here?)), it is "hard" for an adversary to forge  $\hat{c}$  s.t.  $\mathcal{E}^{-1}(\hat{c})$  has n - b zeroes at specific positions (roughly: success prob.  $\approx 2^{b-n}$ )

 $\rightsquigarrow$  Good paradigm, but very limited if  ${\mathcal E}$  has typical block size  $n \leq 256$ 

# VIL-WBC

## (VIL)-[W]BC

A Variable input-length wide block cipher is a family  $\mathcal{W} = \{\mathcal{E}^{\ell}\}$  of mappings  $\mathcal{E}^{\ell} : \mathcal{K} \times \mathcal{X}_{\ell} \to \mathcal{X}_{\ell}$  s.t. for all  $\ell$ ,  $\mathcal{E}^{\ell}$  is a block cipher, where  $\ell \in \mathcal{S} \subseteq \mathbb{N}$ 

- ${\scriptstyle \blacktriangleright}$  One can for instance take  $\mathcal{X}_{\ell}$  =  $\{0,1\}^{\ell},\,\ell\in[2^7,2^{64}]$
- The (S)PRP security of  $\mathcal W$  is defined as the min $_{\ell}$  (S)PRP security of  $\mathcal E^{\ell}$
- i The notion of VIL-WBC is (different and in some way) stronger than IND-CPA/CCA symmetric encryption ?
  - Exercise: Why isn't encryption with CBC mode w/ a fixed IV a good VIL-WBC?

Some various strategies have been proposed to build VIL-WBC

- Sequential two-pass (e.g. CBC-MAC feeding CTR, Bellare and Rogaway, 1999; CBC forward and backward, Houley; Matyas, 1999)
- ▶ Wide Feistel (e.g. Naor and Reingold, 1997 ~ Mr Monster Burrito, Bertoni et al., 2014, and several others)
- Parallel Feistel (e.g. AEZ, Hoang et al., 2014)

Maybe not the easiest/fastest way, but conceptually beautiful

## Conclusion

- Authentication is essential
- Most of the time, both encryption and authentication are needed
- The "modern" way: do both at the same time
- Still an active research topic (cf. the perpetual CAESAR competition →

https://competitions.cr.yp.to/caesar.html)

### AMAC, BMAC, CMAC, DMAC, EMAC, FMAC, GMAC, HMAC, IMAC, JMAC, KMAC, LMAC, MMAC, NMAC, OMAC, PMAC, QMAC, RMAC, SMAC, TMAC, UMAC, VMAC, WMAC, XMAC, YMAC, ZMAC, PelicanMAC, SandwichMAC (see Karpman & Mennink, CRYPTO RUMP 2017 for a review)