

Cryptology complementary  
✦  
Symmetric modes of operation

Pierre Karpman

`pierre.karpman@univ-grenoble-alpes.fr`

`https://www-ljk.imag.fr/membres/Pierre.Karpman/tea.html`

2018-05-03

## From two weeks ago

---

- ▶ A good *primitive*  $\neq$  a good *cryptographic scheme*
  - ▶ Example: RSA (a good OWF w/ trapdoor) is not a good encryption scheme
  - ▶  $\leadsto$  need padding (e.g. OAEP)
  - ▶ Ditto for signatures (use e.g. PSS-R)
- ▶ This is true for asymmetric crypto (above)
- ▶ But also symmetric (today's topic)

## Block cipher recalls

---

- ▶ Recall that a (binary) block cipher is a mapping  $\mathcal{E} : \{0, 1\}^\kappa \times \{0, 1\}^n \rightarrow \{0, 1\}^n$  s.t.  $\forall k \in \{0, 1\}^\kappa$ ,  $\mathcal{E}(k, \cdot)$  is a permutation
- ▶ A “good” block cipher is a family of permutations that “look random” and are independent of each other  $\rightsquigarrow$  PRP-security
- ▶ Some implications for good BCs:
  - ▶ It is hard to find an unknown  $k$  given oracle access to  $\mathcal{E}(k, \cdot)$
  - ▶ It is hard to find  $m$  given  $c = \mathcal{E}(k, m)$  for an unknown  $k$
  - ▶ It is hard to find  $c = \mathcal{E}(k, m)$  for an unknown  $k$  given  $m$
  - ▶ Etc.

# Block ciphers are not enough

---

What block ciphers do:

- ▶ One-to-one encryption of fixed-size messages

What do we want:

- ▶ One-to-many encryption of variable-size messages
- ▶ Why?
  - ▶ Variable-size → kind of obvious?
  - ▶ One-to-many → necessary for “semantic security” → cannot tell if two ciphertexts are of the same message or not

## Enter modes of operation

---

- ▶ A *mode of operation* transforms a block cipher into a *symmetric encryption scheme*
- ▶  $\approx \mathcal{E} \rightsquigarrow \text{Enc} : \{0, 1\}^\kappa \times \{0, 1\}^r \times \{0, 1\}^* \rightarrow \{0, 1\}^*$
- ▶ For all  $k \in \{0, 1\}^\kappa$ ,  $r \in \{0, 1\}^r$ ,  $\text{Enc}(k, r, \cdot)$  is invertible
- ▶  $\{0, 1\}^r$ ,  $r \geq 0$  is used to make encryption non-deterministic
- ▶ A mode is “good” if it gives “good encryption schemes” when used with “good BCs”
- ▶ So what’s a good encryption scheme?

## IND-CPA for Symmetric encryption

---

IND-CPA for Enc: An adversary cannot distinguish  $\text{Enc}(k, m_0)$  from  $\text{Enc}(k, m_1)$  for an unknown key  $k$  and equal-length messages  $m_0, m_1$  when given oracle access to an  $\text{Enc}(k, \cdot)$  oracle:

- 1 The Challenger chooses a key  $k \xleftarrow{\$} \{0, 1\}^\kappa$
- 2 The Adversary may repeatedly submit queries  $x_i$  to the Challenger
- 3 The Challenger answers a query with  $\text{Enc}(k, r_i, x_i)$
- 4 The Adversary now submits  $m_0, m_1$  of equal length
- 5 The Challenger draws  $b \xleftarrow{\$} \{0, 1\}$ , answers with  $\text{Enc}(k, r', m_b)$
- 6 The Adversary tries to guess  $b$ 
  - ▶ The choice of  $r_i, r'$  is defined by the mode (made explicit here, may be omitted)

- ▶ A random adversary succeeds w/ prob.  $1/2$   $\rightarrow$  the correct success measure is the *advantage* over this
  - ▶ Advantage (one possible definition):  
 $|\Pr[\text{Adversary answers } 1 : b = 0] - \Pr[\text{Adversary answers } 1 : b = 1]|$
- ▶ An adversary may always succeed w/ advantage 1 given enough resources
  - ▶ Find the key spending time  $t \leq 2^k$  and a few oracle queries
- ▶ What matters is the “best possible” advantage in function of the attack complexity

# First (non-) mode example: ECB

---

- ▶ ECB: just concatenate independent calls to  $\mathcal{E}$

## Electronic Code Book mode

$m_0 || m_1 || \dots \mapsto \mathcal{E}(k, m_0) || \mathcal{E}(k, m_1) || \dots$

- ▶ No security
  - ▶ Exercise: give a simple attack on ECB for the IND-CPA security notion w/ advantage 1, low complexity



## Second (actual) mode example: CBC

---

- ▶ Cipher Block Chaining: Chain blocks together (duh)

### Cipher Block Chaining mode

$$r \times m_0 \| m_1 \| \dots \mapsto c_0 := \mathcal{E}(k, m_0 \oplus r) \| c_1 := \mathcal{E}(k, m_1 \oplus c_0) \| \dots$$

- ▶ Output block  $i$  (ciphertext) added (XORed) w/ input block  $i + 1$  (plaintext)
- ▶ For first ( $m_0$ ) block: use random IV  $r$
- ▶ Okay security in theory  $\leadsto$  okay security in practice *if used properly*

CBC has bad IND-CPA security if the IVs are not random

- ▶ Consider an IND-CPA adversary who asks an oracle query  $\text{CBC-ENC}(m)$ , gets  $r, c = \mathcal{E}(k, m \oplus r)$  (where  $\mathcal{E}$  is the cipher used in CBC-ENC)
- ▶ Assume the adversary knows that for the next IV  $r'$ ,  $\Pr[r' = x] = p$
- ▶ Sends two challenges  $m_0 = m \oplus r \oplus x$ ,  $m_1 = m_0 \oplus 1$
- ▶ Gets  $c_b = \text{CBC-ENC}(m_b)$ ,  $b \stackrel{\$}{\leftarrow} \{0, 1\}$
- ▶ If  $c_b = c$ , guess  $b = 0$ , else  $b = 1$ 
  - ▶ Exercise: what is the adversary's advantage? (If  $q := \Pr[r' = x \oplus 1] \leq (1 - p)$ .)

## Generic CBC collision attack

---

Even with random IVs, CBC has some drawbacks

An observation:

- ▶ In CBC, inputs to  $\mathcal{E}$  are of the form  $x \oplus y$  where  $x$  is a message block and  $y$  an IV or a ciphertext block
- ▶ If  $x \oplus y = x' \oplus y'$ , then  $\mathcal{E}(k, x \oplus y) = \mathcal{E}(k, x' \oplus y')$

A consequence:

- ▶ If  $c_i = \mathcal{E}(k, m_i \oplus c_{i-1}) = c'_j = \mathcal{E}(k, m'_j \oplus c'_{j-1})$ , then  $c_{i-1} \oplus c'_{j-1} = m_i \oplus m'_j$
- ▶  $\leadsto$  knowing identical ciphertext blocks reveals information about the message blocks
- ▶  $\Rightarrow$  breaks IND-CPA security
- ▶ Regardless of the security of  $\mathcal{E}$ !

# CBC collisions: how likely?

---

How soon does a collision happen?

- ▶ Proposition: the distribution of the  $(x \oplus y)$  is  $\approx$  uniform
  - ▶ If  $y$  is an IV it has to be (close to) uniformly random, otherwise we have an attack (two slides ago)
  - ▶ If  $y = \mathcal{E}(k, z)$  is a ciphertext block, ditto for  $y$  knowing  $z$ , otherwise we have an attack on  $\mathcal{E}$
- ▶  $\Rightarrow$  A collision occurs w.h.p. after  $\sqrt{\#\{0, 1\}^n} = 2^{n/2}$  blocks are observed (with identical key  $k$ )  $\leftarrow$  *The birthday bound*
- ▶ (Slightly more precisely, w/ prob.  $\approx q^2/2^n, q \leq 2^{n/2}$  after  $q$  blocks)

## Some CBC recap

---

A decent mode, but

- ▶ Must use random IVs
- ▶ Must change key *much* before encrypting  $2^{n/2}$  blocks when using an  $n$ -bit block cipher
- ▶ And this *regardless of the key size  $\kappa$*
- ▶ This is a common restriction for modes of operation (cf. next slide)

## Another classical mode: CTR

---

### Counter mode

$$m_0 \| m_1 \| \dots \mapsto \mathcal{E}(k, s++) \oplus m_0 \| \mathcal{E}(k, s++) \oplus m_1 \| \dots$$

- ▶ This uses a global state  $s$  for the *counter*, with C-like semantics for  $s++$
- ▶ Encrypts a public counter  $\rightsquigarrow$  pseudo-random keystream  $\rightsquigarrow$  (perfect) one-time-pad approximation (i.e. a *stream cipher*)
- ▶ Like CBC, must change key *much* before encrypting  $2^{n/2}$  blocks when using an  $n$ -bit block cipher
  - ▶ Question: why?

## How to go further: the tweakable option

---

- ▶ A (binary) *tweakable* block cipher is a mapping  $\tilde{\mathcal{E}} : \{0, 1\}^\kappa \times \{0, 1\}^\theta \times \{0, 1\}^n \rightarrow \{0, 1\}^n$  s.t.  
 $\forall k \in \{0, 1\}^\kappa, t \in \{0, 1\}^\theta, \tilde{\mathcal{E}}(k, t, \cdot)$  is a permutation
- ▶ The *tweak*  $t$  is “like a key”, but known & may be chosen by the adversary
- ▶ A necessary condition for  $\tilde{\mathcal{E}}$  to be a good TBC is for  $\tilde{\mathcal{E}}(\cdot, t, \cdot)$  to be a good BC for all  $t$ .
  - ▶ But an adversary may further try to exploit relations between  $\tilde{\mathcal{E}}$  for  $\neq$  tweaks

How to build a TBC?

- ▶ From scratch, like any block cipher (see for instance Jean et al., 2014)
- ▶ From an existing block cipher treated as a black box (see for instance Liskov et al., 2002)
- ▶ Still a quite active research topic

A simple (not ideal) example:

- ▶  $\tilde{\mathcal{E}}(k, t, \cdot) := \mathcal{E}(k \oplus t, \cdot)$
- ▶ (Relies on the analysis of  $\mathcal{E}$  in a *XOR-Related-key setting*)



# TBC: why?

---

- ▶ Many modes (like CBC) fail when encrypting too many blocks with the same permutation
- ▶  $\leadsto$  Change permutation as often as possible
- ▶ Change key at every block?
  - ▶ Not so clean to define, possible efficiency issues
- ▶  $\leadsto$  Add a tweak, change tweak at every block
  - ▶ Clean, possibly more efficient, but a more “complex” primitive

## A simple mode for TBCs: TIE

---

- ▶ “Like ECB”, but with distinct tweaks for every call to  $\tilde{\mathcal{E}}$

### Tweak Incrementation Encryption

$$m_0 \| m_1 \| \dots \mapsto c_0 := \tilde{\mathcal{E}}(k, s++, m_0) \| c_1 := \tilde{\mathcal{E}}(k, s++, m_1) \| \dots$$

- ▶ Again uses a global state  $s$ , this time for the tweak
- ▶ Security directly reduces to the one of  $\tilde{\mathcal{E}}$  as long as tweaks don't repeat
  - ▶ Intuitively if  $\tilde{\mathcal{E}}(k, t, \cdot)$  and  $\tilde{\mathcal{E}}(k, t' \neq t, \cdot)$  are independent random permutations,  $\tilde{\mathcal{E}}(k, t, x)$  and  $\tilde{\mathcal{E}}(k, t', x')$  are independent random values for any  $x, x'$

## To go even further

---

- ▶ TBCs are great to define *authenticated encryption* (AE) modes, like TAE
- ▶ *Authentication*: “Only someone knowing the key  $k$  knows how to create and verify ‘valid’ messages”
- ▶ (Beyond the scope of this course)

# About the exam

---

- ▶ One hour out of the three
- ▶ Probably  $\approx$  two independent exercises
- ▶ Mostly on symmetric notions