

From AXIOM down to IMP

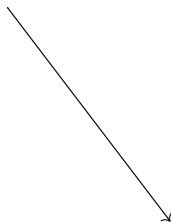
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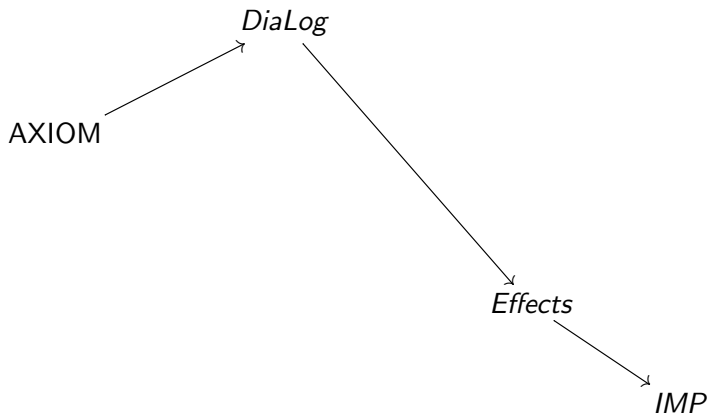
Algebraic Algorithms and Applications – Pisa – 31 March 2017

Happy birthday Patrizia!

AXIOM



IMP



DiaLog

$$\begin{array}{c} Th = F(Sp) \\ \downarrow \text{mod} \\ Dom \end{array}$$

Effects and IMP

$$\begin{array}{ccccccc} Th & \longrightarrow & Th' & \longrightarrow & Th'' & \cdots & \\ \downarrow \text{mod} & & \downarrow \text{mod}' & & \downarrow \text{mod}'' & & \\ Dom & \longrightarrow & Dom' & \longrightarrow & Dom'' & \cdots & \end{array}$$

Outline

Diagrammatic Logics

Computational effects

Proofs for an IMPerative language

From Axiom to DiaLog

AXIOM is (loosely) based on **abstract data types** (ADT) and **algebraic specifications** (booleans, integers, lists, ...) [developped by the ADJ group at IBM Research]

Question.

Can we find a more powerful, more accurate, theoretical basis?

- ▶ **Institutions** are too close to algebraic specifications [Goguen, Burstall]
- ▶ We have proposed the framework of **Diagrammatic Logics** [Domínguez, Duval, Lair]

“An inference rule is a (categorical) fraction”

The modus ponens rule

Written **AS** a fraction

$$\frac{A \quad A \Rightarrow B}{B}$$

“if A implies B and A is true, then B is true”

or in two steps:

“(A implies B and A is true) if and only if

(A implies B and A is true and B is true),

and [obviously] if (A implies B and A is true and B is true)
then (B is true)”

This rule **IS** a fraction

$$\{A, A \Rightarrow B\} \xleftarrow[\text{if and only if}]{\subseteq} \{A, A \Rightarrow B, B\} \xleftarrow[\text{if then}]{\supseteq} \{B\}$$

Rules as fractions

A rule, written **AS** a fraction $\frac{H}{C}$, actually **IS** a fraction $\frac{c}{h}$

$$\frac{H}{C} \quad \text{or} \quad H \xleftarrow{\quad h \quad} H' \xleftarrow{\quad c \quad} C \quad \text{or} \quad \frac{c}{h}$$

where $H' = "H \text{ and } C"$, with respect to a functor $\mathbf{S} \xrightarrow{F} \mathbf{T}$

- Solid arrows $H \xrightarrow{h} H' \xleftarrow{c} C$ are in \mathbf{S}
- Dashed arrow $H \xleftarrow{\quad} H'$ stands for $F(H) \xleftarrow{F(h)^{-1}} F(H')$ in \mathbf{T}
- \mathbf{S} is the category of **specifications**
- \mathbf{T} is the category of **theories**
- $F(Sp)$ is the theory **generated by** the specification Sp

Logic as adjunction

Definition?

A **diagrammatic logic** is an adjunction $F \dashv G$ such that the counit $F \circ G \Rightarrow Id_{\mathbf{T}}$ is an iso, i.e., G is full and faithful

$$\begin{array}{ccc} \mathbf{S} & \xrightarrow{F} & \mathbf{T} \\ & \underset{G}{\curvearrowright} & \\ & \perp & \end{array}$$

In addition, this adjunction must be “syntactic”

Definition!

A diagrammatic logic is [determined by] a morphism of limit sketches which simply adds inverses to some arrows.

Models

Given a diagrammatic logic

A **model** of Th in Dom is a morphism $mod : Th \rightarrow Dom$ in \mathbf{T}

Thus, if $Th = F(Sp)$ (i.e., Th is **presented by** Sp) then

a **model** of Th in Dom is a morphism $mod : Sp \rightarrow Dom$ in \mathbf{S}

$$\begin{array}{ccc} Sp & \xrightarrow{F} & Th = F(Sp) \\ \downarrow mod & & \downarrow mod \\ Dom = G(Dom) & \xleftarrow{G} & Dom \end{array}$$

Morphisms as fractions

Given a diagrammatic logic

if $Th_1 = F(Sp_1)$ and $Th_2 = F(Sp_2)$ then
each morphism of theories $th : Th_1 \rightarrow Th_2$
is **presented by a fraction**

$$Sp_1 \xrightarrow{sp_1} Sp_2' \xleftarrow{sp_2} Sp_2$$

i.e., $th = F(sp_2)^{-1} \circ F(sp_1)$

$$Th_1 \xrightarrow{F(sp_1)} Th_2' \xrightarrow{F(sp_2)^{-1}} Th_2$$

Example: implementation of the operations in Sp_1
using the operations in Sp_2

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From DiaLog to computational effects

There is a simple and powerful notion of **morphism** of diagrammatic logics.

This allows to deal with situations where the syntax and the semantics do not fit.

Example. In an imperative language with exceptions, a piece of program $p : x \rightarrow y$ is interpreted as a partial function $\llbracket p \rrbracket : S \times \llbracket x \rrbracket \rightarrow S \times \llbracket y \rrbracket + S \times E$

A computational effect involves several kinds of terms (values and computations, or pure and effectful) and here in addition

“A computational effect involves several kinds of equations”

State

Our first motivation for building diagrammatic logic was to get a proof system for programs involving **states**

In an imperative language, we can distinguish 3 kinds of terms:

- **pure** terms
- **accessors** or **read-only**
- **modifiers** or **read-write**

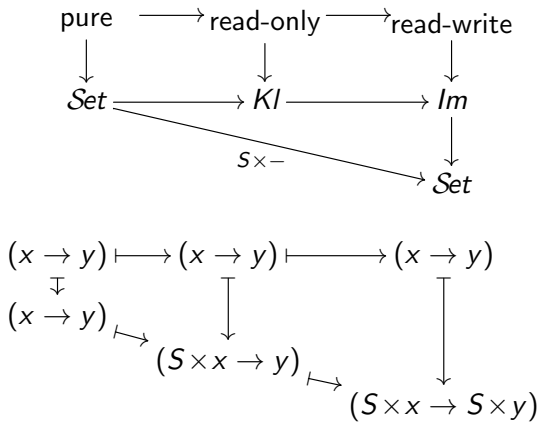
A term $x \rightarrow y$ in the syntax is interpreted using the set S of **states**:

- pure: $x \rightarrow y$
- read-only: $S \times x \rightarrow y$
- read-write: $S \times x \rightarrow S \times y$

Denotational semantics of states

Models in relevant logics involve the **product comonad**

$S \times - : \mathcal{Set} \rightarrow \mathcal{Set}$



“up-to-state” quasi-equations

The rules involve 2 kinds of “equations” on read-write terms:

- **strong** equations: $f_1 \equiv f_2 : x \rightarrow y$,
interpreted as $f_1 = f_2 : S \times x \rightarrow S \times y$
- **“up-to-state”** quasi-equations: $f_1 \sim f_2 : x \rightarrow y$,
interpreted as $pr \circ f_1 = pr \circ f_2 : S \times x \rightarrow y$

with different rules:

- **strong** equations form a congruence:
an equivalence relation compatible with composition:

$$\frac{g_1 \equiv g_2}{h \circ g_1 \circ f \equiv h \circ g_2 \circ f}$$

- **“up-to-state”** quasi-equations form a **“weak”** congruence:
an equivalence relation “weakly” compatible with composition:

$$\frac{g_1 \sim g_2}{h^{(pure)} \circ g_1 \circ f \sim h^{(pure)} \circ g_2 \circ f}$$

Operations on states

Let $Loc = \{X, Y, \dots\}$ be the set of **locations** (or “variables”)
(assumed of type integer Z)

- $lookup_X : \mathbb{1} \rightarrow Z$ is an accessor
- $update_X : Z \rightarrow \mathbb{1}$ is a modifier

Quasi-equations:

$$\begin{cases} lookup_X \circ update_X \sim id_Z \\ lookup_Y \circ update_X \sim lookup_Y \text{ (if } Y \neq X) \end{cases}$$

Interpretation as required, when $S = \mathbb{Z}^{Loc} = \prod_{X \in Loc} \mathbb{Z}$

- $\llbracket lookup_X \rrbracket : S \rightarrow \mathbb{Z}$ such that $s \mapsto s(X)$
- $\llbracket update_X \rrbracket : S \times \mathbb{Z} \rightarrow S$ such that $(s, n) \mapsto s[n/X]$

States and exceptions: duality

Then we realized that by duality from states we get a proof system for programs involving **exceptions**

We distinguish 3 kinds of terms:

- **pure** terms
- **propagators** (that may throw and must propagate exceptions)
- **catchers** (that may recover from exceptions)

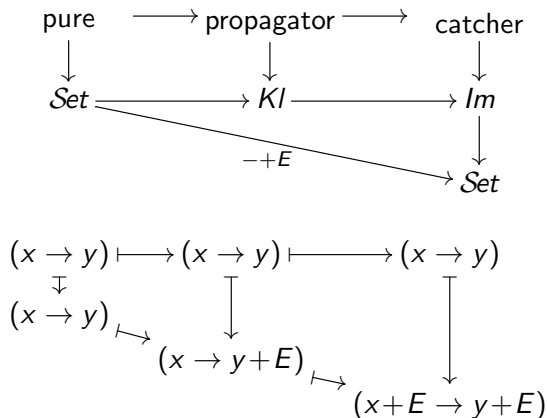
A term $x \rightarrow y$ in the syntax is interpreted using the set E of **exceptions**:

- pure: $x \rightarrow y$
- propagator: $x \rightarrow y + E$
- catcher: $x + E \rightarrow y + E$

Denotational semantics of exceptions

Models in relevant logics involve the **coproduct monad**

$- + E : \mathcal{Set} \rightarrow \mathcal{Set}$



“up-to-exceptions” quasi-equations

The rules involve 2 kinds of “equations” on catchers:

- **strong** equations: $f_1 \equiv f_2 : x \rightarrow y$,
interpreted as $f_1 = f_2 : x + E \rightarrow y + E$
- **“up-to-exceptions”** quasi-equations: $f_1 \sim f_2 : x \rightarrow y$,
interpreted as $f_1 \circ \text{in} = f_2 \circ \text{in} : x \rightarrow y + E$

with different rules:

- **strong** equations form a congruence:
an equivalence relation compatible with composition:

$$\frac{g_1 \equiv g_2}{h \circ g_1 \circ f \equiv h \circ g_2 \circ f}$$

- **“up-to-exceptions”** quasi-equations form a **“weak”** congruence:
an equivalence relation “weakly” compatible with composition:

$$\frac{g_1 \sim g_2}{h \circ g_1 \circ f(\text{pure}) \sim h \circ g_2 \circ f(\text{pure})}$$

Operations on exceptions

Let $Exc = \{e, e', \dots\}$ be the set of **exception names**
(assumed with parameter of type integer Z)

- $tag_e : Z \rightarrow \mathbb{0}$ is a propagator
- $untag_e : \mathbb{0} \rightarrow Z$ is a catcher

Equations:

$$\begin{cases} untag_e \circ tag_e \sim id_Z \\ untag_e \circ tag_{e'} \sim tag_{e'} \quad (\text{if } e' \neq e) \end{cases}$$

Then tag_e and $untag_e$ have to be encapsulated for getting the required *throw* and *try/catch* constructions

What is a computational effect?

Effect = strong monad [Moggi]

Effect = Lawvere theory [Plotkin, Power, Hyland]

Effect = ?? I do not know...

Some features appear:

- several kinds of terms
- several kinds of “quasi-equations”

$$\begin{array}{ccccc} Th^{(0)} & \longrightarrow & Th^{(1)} & \longrightarrow & \dots \\ \downarrow \text{mod}^{(0)} & & \downarrow \text{mod}^{(1)} & & \\ Dom^{(0)} & \longrightarrow & Dom^{(1)} & \longrightarrow & \dots \end{array}$$

Combinaison of effects may look systematic by composition,
but combinaison of quasi-equations is not systematic

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From computational effects to IMP

Goal.

Design a **proof assistant** for imperative or object-oriented languages (based on Coq, for example)

- close to the syntax
- for proving equivalence of parts of programs

A case study.

The basic IMPerative language **IMP**: with the **state** effect
[and **IMP-EX**: with the **state** and the **exceptions** effects]

Actually, it is convenient to

“Consider conditionals and loops as effects”

IMP syntax

IMP is a very simple IMPerative language

$Loc = \{X, Y, \dots\}$ is the set of **locations** (or “variables”)

Expressions:

$$a ::= 0 \mid 1 \mid -1 \mid \dots \mid X \mid Y \mid \dots \mid a + a \mid \dots$$
$$b ::= true \mid false \mid b \wedge b \mid \dots \mid a = a \mid \dots$$

Commands:

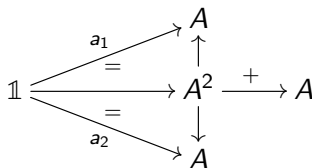
$$c ::= skip \mid c ; c \mid X := a \mid \text{if } b \text{ then } c \text{ else } c \mid \text{while } b \text{ do } c$$

IMP syntax, categorically: expressions

- “types” A, B as **objects**,
- “type” *unit* or *void* as **initial object** $\mathbb{1}$
- expressions as **arrows**
- binary operations using **products**

EXPRESSION a or b $\mathbb{1} \xrightarrow{a} A$ or $\mathbb{1} \xrightarrow{b} B$

binary operation $a_1 + a_2$



IMP syntax, categorically: commands

- commands as **arrows**
- conditionals using **coproducts**

COMMAND c

$$\mathbb{1} \xrightarrow{c} \mathbb{1}$$

do-nothing skip

$$\mathbb{1} \xrightarrow{id} \mathbb{1}$$

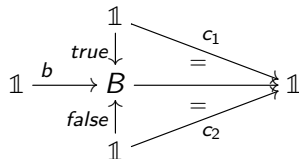
sequence $c_1; c_2$

$$\mathbb{1} \xrightarrow{c_1} \mathbb{1} \xrightarrow{c_2} \mathbb{1}$$

assignment $X := a$

$$\mathbb{1} \xrightarrow{a} A \xrightarrow{X:=} \mathbb{1}$$

conditional $\text{if } b \text{ then } c_1 \text{ else } c_2$



IMP denotational semantics

$S = \mathbb{Z}^{Loc} = \prod_{X \in Loc} \mathbb{Z}$ is the set of **states**

Expressions interpreted as total maps

$$\llbracket a \rrbracket : S \rightarrow \mathbb{Z} = \{\dots, -1, 0, 1, \dots\} \quad \text{e.g. } \llbracket X \rrbracket(s) = s(X)$$

$$\llbracket b \rrbracket : S \rightarrow \mathbb{B} = \{true, false\}$$

Commands interpreted as partial maps

$$\llbracket c \rrbracket : S \rightharpoonup S$$

$$\llbracket X := a \rrbracket(s) = s[\llbracket a \rrbracket(s)/X]$$

$$\llbracket \text{if } b \text{ then } c_1 \text{ else } c_2 \rrbracket(s) = \text{if } \llbracket b \rrbracket(s) \text{ then } \llbracket c_1 \rrbracket(s) \text{ else } \llbracket c_2 \rrbracket(s)$$

$$\llbracket \text{while } b \text{ do } c \rrbracket = \text{fix}(F_{\llbracket b \rrbracket, \llbracket c \rrbracket})$$

i.e., the **least fixed-point** of $F_{\llbracket b \rrbracket, \llbracket c \rrbracket}$ where

$$(F_{\llbracket b \rrbracket, \llbracket c \rrbracket}(f))(s) = \text{if } \llbracket b \rrbracket(s) \text{ then } f(\llbracket c \rrbracket(s)) \text{ else } s$$

IMP denotational semantics, categorically

EXPRESSION

binary operation

$$S \xrightarrow{a} A \text{ or } S \xrightarrow{b} B$$

$$\begin{array}{c}
 & & A \\
 & \nearrow^{a_1} & \uparrow \\
 S & \xrightarrow{=} & A^2 \xrightarrow{+} A \\
 & \searrow_{a_2} & \downarrow \\
 & & A
 \end{array}$$

COMMAND

do-nothing

$$S \xrightarrow{c} S$$

sequence

$$S \xrightarrow{id} S$$

$$S \xrightarrow{c_1} S \xrightarrow{c_2} S$$

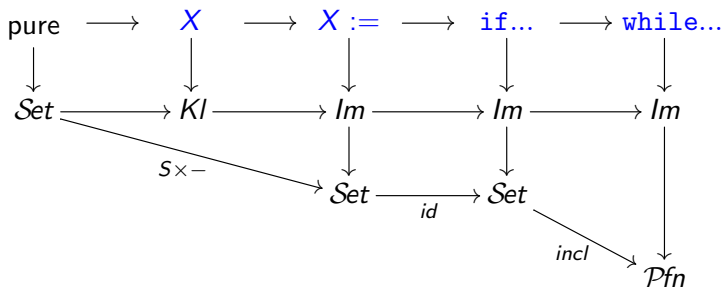
assignment

$$S \xrightarrow{\langle id, a \rangle} S \times A \xrightarrow{X:=} S$$

conditional

$$\begin{array}{c}
 S \xrightarrow{\langle id, b \rangle} S \times B \xrightarrow{\cong} S \\
 \begin{array}{c}
 S \searrow^{c_1} \\
 \downarrow \\
 S \xrightarrow{=} S \\
 \uparrow \\
 S \nearrow_{c_2}
 \end{array}
 \end{array}$$

Effects in IMP



$$\begin{array}{ccccc}
 (x \rightarrow y) & (x \rightarrow y) & (x \rightarrow y) & (x \rightarrow y) & (x \rightarrow y) \\
 \Downarrow & \Downarrow & \Downarrow & \Downarrow & \Downarrow \\
 (x \rightarrow y) & (S \times x \rightarrow y) & (S \times x \rightarrow S \times y) & (S \times x \rightarrow S \times y) & (S \times x \rightarrow S \times y)
 \end{array}$$

Quasi-equations for IMP

Programs: $p ::= c ; \text{return } (a)$

interpreted as $S \xrightarrow{c} S \xrightarrow{a} A$

- Quasi-equations for **state**: $p_1 \sim p_2 : \mathbb{1} \rightarrow A$
interpreted as $p_1 = p_2 : S \rightarrow A$
- Quasi-equations for **conditionals**: $c_1 \equiv_b c_2 : \mathbb{1} \rightarrow \mathbb{1}$,
interpreted as $c_1|_{S_b} = c_2|_{S_b} : S_b \rightarrow S$
where $S_b = \{s \in S \mid b(s) = \text{true}\} \subseteq S$
- Quasi-equations for **loops**: $c_1 \leq c_2 : \mathbb{1} \rightarrow \mathbb{1}$,
interpreted as $c_1 \leq c_2 : S \rightarrow S$ in \mathcal{Pfn}

Combining quasi-equations

Example: combining \sim (state) and \leq (loop):

Quasi-equation \preceq with $p_1 \preceq p_2 : \mathbb{1} \rightarrow A$
interpreted as $p_1 \leq p_2 : S \rightarrow A$

In particular:

if $p : \mathbb{1} \rightarrow A$ is a program and $r : \mathbb{1} \rightarrow A$ a pure expression, then

$$p \preceq r \iff r \text{ is the result of } p$$

Properties of quasi-equations

	\equiv	\sim	\equiv_b	\leq	\preceq
reflexive	V	V	V	V	V
transitive	V	V	V	V	V
symmetric	V	V	V	X	X
substitution	V	V	X	V	V
continuation	V	X	V	V	X

Conclusion

- ▶ categories of **fractions** for **logic**
- ▶ **quasi-equations** for computational **effects**
- ▶ conditionals and loops as **effects** for **IMP**

THANK YOU!