

Code based cryptography

Cryptographic Engineering

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Motivation

Coding Theory

Introduction

Linear Codes

Reed-Solomon codes

McEliece cryptosystem

Motivation: Post-Quantum Cryptography

Problem (Order finding problem)

Given $a \in \mathbb{Z}_{>0}$ coprime with $N \in \mathbb{Z}_{>0}$ find the smallest $r \in \mathbb{Z}_{>0}$ s.t.

$$a^r = 1 \pmod{N}.$$

Theorem (Shor's algorithm)

The Order finding problem can be solved by a quantum computer in time $O(\log^2 N \log \log N)$.

Factorization with a quantum computer

Corollary

Integer factorization can be solved by a quantum computer in time $O(\log^2 N \log \log N)$.

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Sketch of proof.

1. Do
2. Sample a random a
3. $r \leftarrow \text{Order}(a, N)$
4. While $(\text{GCD}(a^{r/2} - 1, N) = 1)$

If r is even then $N \mid (a^{r/2} - 1)(a^{r/2} + 1)$. But $N \nmid (a^{r/2} - 1)$.

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- Either $N \mid a^{r/2} + 1$ (with prob $< 1/2$) \Rightarrow restart with another a
- Or the $\text{GCD}(n, a^{r/2} - 1)$ reveals a factor of n .



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$$\begin{aligned} f : \mathbb{Z}/p\mathbb{Z} \times \mathbb{Z}/p\mathbb{Z} &\rightarrow G \\ (a, b) &\mapsto g^a y^{-b}, \text{ a group isomorphism.} \end{aligned}$$

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Note: $f^{-1}(1) = \mathbb{Z}/p\mathbb{Z} \times (x, 1)$.

Find (r_1, r_2) s.t. $f((r_1, r_2) \times (a, b)) = 1$.

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\Rightarrow recover x from a, b, r_1, r_2 .



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But still a threat:

- Fast progresses, huge efforts
- *Harvest now, decrypt later* already happening
⇒ paradigm of Perfect Forward Secrecy

Post-quantum cryptography

Building new schemes based on other computational hardness assumptions

2016: NIST starts a standardization process calling for proposals for asymmetric primitives: signatures and encryption schemes.

2020: 7 finalists of the 1st round + 8 alternative candidates

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Main fields

Lattices: *Kyber* (Module learning-with errors), ...

Coding theory: *McEliece* (Goppa codes)

Multivariate systems: *Oil and Vinegar*

But also

Isogenies: *CSIDH*, but no longer *SIDH*

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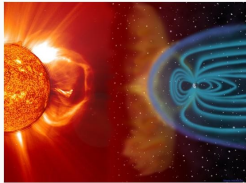
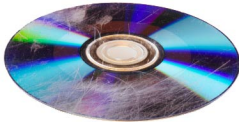
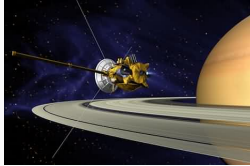
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Errors everywhere

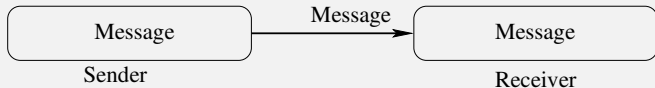


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- Radio transmission electromagnetic interferences
- Ethernet, DSL electromagnetic interferences
- CD/DVD Audio/Video/ROM scratches, dust
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Detect: require retransmission (integrity certificate)

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Example (NATO phonetic alphabet)

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Alpha Bravo India Tango Tango Echo Delta India Oscar Uniform Sierra !

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stream codes: online processing of the stream of information

block codes: cutting information in blocks and applying the same treatment to each block

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Generalities and terminology

- A code is a sub-set $\mathcal{C} \subset \mathcal{E}$ of a set of possible words.
- Often, \mathcal{E} is built from an alphabet Σ : $\mathcal{E} = \Sigma^n$.
- Encoding function: $E : \mathcal{S} \rightarrow \mathcal{E}$ such that $E(\mathcal{S}) = \mathcal{C}$.
- A code is
 - t -detector, if any set error on t symbols can be detected
 - t -corrector, if any set error on t symbols can be corrected

Examples

Parity check

$$E : (x_1, x_2, x_3) \rightarrow (x_1, x_2, x_3, s)$$

with

$$s = \sum_{i=1}^3 x_i \pmod{2} \Rightarrow \sum_{i=1}^3 x_i + s = 0 \pmod{2}$$

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$E : \Sigma \rightarrow \Sigma^r$
 $x \mapsto \underbrace{(x, \dots, x)}_{r \text{ times}}, \text{ and } \mathcal{C} = \text{Im}(E) \subset \Sigma^r$

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Linear Codes

Let $\mathcal{E} = V^n$ over a finite field V .

A linear code \mathcal{C} is a subspace of \mathcal{E} .

- length: n
- dimension: $k = \dim(\mathcal{C})$
- Rate (of information): k/n

Encoding function: $E : V^k \longrightarrow V^n$ s.t. $\mathcal{C} = \text{Im}(E) \subset \mathcal{V}^n$

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Example

- Parity code: $k = n - 1$ 1-detector
- r -repetition code: $k = r/r = 1$ $r - 1$ -detector,
 $\lfloor \frac{r-1}{2} \rfloor$ -corrector

Distance of a code

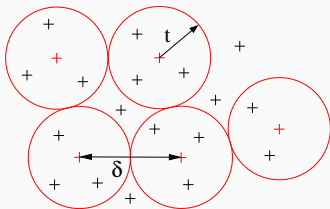
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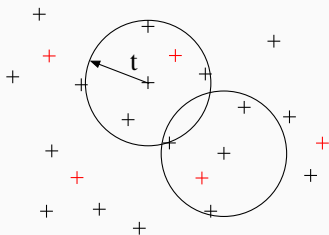
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In a linear code: $\delta = \min_{x \in \mathcal{C} \setminus \{0\}} w_H(x)$



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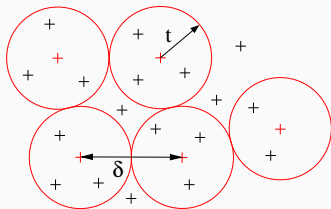


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- $\forall c_1, c_2 \in \mathcal{C} c_1 \neq c_2 \Rightarrow d_H(c_1, c_2) > 2t$

Perfect codes

Definition

A code is perfect if any detected error can be corrected.

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- 4-repetition is not perfect
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Remark

Can be corrected into the wrong code-word. For instance
 $(b, a, b) \rightarrow (b, b, b)$

Generator matrix and parity check matrix

Generator matrix

- The matrix G of the encoding function (depends on a choice of basis):

$$E : x^T \longrightarrow x^T G$$

- Under systematic form: $G = \left[\begin{array}{cc|c} 1 & 0 & \bar{G} \\ & \ddots & \\ 0 & 1 & \end{array} \right]$

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Parity check matrix

- A matrix $H \in K^{(n-k) \times n}$ such that $\ker(H) = \mathcal{C}$:

$$c \in \mathcal{C} \Leftrightarrow Hc = 0$$

- A basis of $\ker(G^T)$: $HG^T = 0$

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Exercise

Find G and H of the parity check and of the k -repetition codes.

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The parity check code is the **dual** of the repetition code

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Definition

Let \mathcal{C} be a linear code with generating matrix G and parity check matrix H .

The dual code \mathcal{D} of \mathcal{C} is the linear code with generating matrix H and parity check matrix G .

Role of the parity check matrix

$$c \in \mathcal{C} \Leftrightarrow Hc = 0$$

- Certificate for detecting errors
- Syndrom: $s_x = Hx = H(c + e) = He$

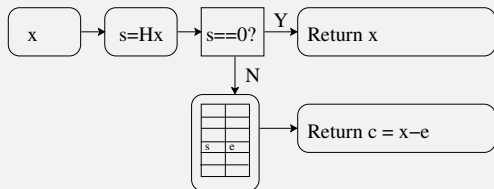
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A first correction algorithm:

- pre-compute all s_e for $w_H(e) \leq t$ in a table S
- For x received. If $s_x \neq 0$, look for s_x in the table S
- return the corresponding codeword



Decoding problems

Problem (Noisy decoding problem)

Given $G \in K^{k \times n}$ of full row rank and $y \in K^n$, with $y = c + e$ where $c = mG$ is a code-word and $w_H(e) = t$, find e .

Problem (Syndrom decoding problem)

Given $H \in K^{(n-k) \times n}$ of full row rank and $s \in K^{n-k}$, where $s = He$ with $w_H(e) = t$, find e .

Hamming codes

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- Parameters of the corresponding code?
- Generator matrix?
- Minimal distance?
- Is it a perfect code?

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$$|\mathcal{C}| = 2^k \Rightarrow \# \text{ of elements in each ball of radius } 1:$$

$$2^k(1 + 7) = 16 \cdot 8 = 2^7 = |K^n| \Rightarrow \text{perfect}$$

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Generalization

$\forall \ell: H(2^\ell - 1, 2^\ell - \ell)$, is 1-corrector, perfect.

Example: Minitel, ECC memory: $\ell = 7$

Some bounds

Let \mathcal{C} be a code (n, k, δ) over a field \mathbb{F}_q with q elements.

k and δ can not be simulatneously large for a given n .

Sphere packing:

$$q^k \sum_{i=0}^t \binom{n}{i} (q-1)^i \leq q^n, \text{ with } t = \lfloor \frac{\delta-1}{2} \rfloor.$$

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Sketch of proof:

- Let H be the parity check matrix $(n-k) \times n$.
- δ is the smallest number of linearly dependent cols of H .
- $n - k + 1 = \text{rank}(H) + 1$ cols are always linearly dependent.

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k and δ can not be simultaneously large for a given n .

Sphere packing:

$$q^k \sum_{i=0}^t \binom{n}{i} (q-1)^i \leq q^n, \text{ with } t = \lfloor \frac{\delta-1}{2} \rfloor.$$

Singleton bound:

$$\delta \leq n - k + 1$$

Sketch of proof:

- Let H be the parity check matrix $(n-k) \times n$.
- δ is the smallest number of linearly dependent cols of H .
- $n - k + 1 = \text{rank}(H) + 1$ cols are always linearly dependent.

\Rightarrow How to build codes correcting up to $\frac{n-k}{2}$.

Motivation

Coding Theory

Introduction

Linear Codes

Reed-Solomon codes

McEliece cryptosystem

Theorem (Interpolation)

For all x_1, \dots, x_k , distincts, and all y_1, \dots, y_k , there is a unique polynomial $f = f_0 + f_1x + \dots + f_{k-1}x^{k-1}$ of degree $< k$ such that :

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Corollary

For some fixed x_i 's

- *equivalent representation*: $(y_1, \dots, y_k) \Leftrightarrow (f_0, \dots, f_{k-1})$.
- *oversampling*: $(y_1, \dots, y_k, y_{k+1}, \dots, y_n) \Leftarrow (f_0, \dots, f_{k-1})$.
 \Rightarrow adding redundancy

Definition (Reed-Solomon codes)

Let K be a finite field, and $x_1, \dots, x_n \in K$ distinct elements. The Reed-Solomon code of length n and dimension k is defined by

$$\mathcal{C}(n, k) = \{(f(x_1), \dots, f(x_n)), f \in K[X]; \deg f < k\}$$

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Example

$(n, k) = (5, 3), f = x^2 + 2x + 1$ over $\mathbb{Z}/19\mathbb{Z}$.

$$(1, 2, 1, 0, 0) \xrightarrow{\text{Eval}} (f(1), f(5), f(8), f(10), f(12)) = (4, 5, 17, 5, 7, 17)$$

$$(4, 17, 5, 7, 17) \xrightarrow{\text{Interp.}} (1, 2, 1, 0, 0) \quad x^2 + 2x + 1$$

$$(4, 17, 13, 7, 17) \xrightarrow{\text{Interp.}} (12, 8, 11, 10, 1) \quad x^4 + 10x^3 + 11x^2 + 8x + 12$$

Minimal distance of Reed-Solomon codes

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If $f(x_i) \neq g(x_i)$ for $d < n - k + 1$ values x_i ,

Then $f(x_j) - g(x_j) = 0$ for at least $n - d > k - 1$ values x_j .

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\Rightarrow correct up to $\frac{n-k}{2}$ errors.

Decoding via the key equation

c	$= \text{Eval}(f)$	the codeword
y	$= c + e$	the received word
P	$= \text{Interp}((x_i, y_i))$	the interpolant of the received word
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$$\Rightarrow \forall i \in \{1 \dots n\} \Lambda(x_i) f(x_i) = \Lambda(x_i) P(x_i)$$

\Rightarrow conclusion by C.R.T



Solving the Key equation

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Solving the Key equation

$$N = \Lambda P \pmod{\prod_{i=1}^n (x - x_i)}$$

- **Problem:** quadratic equation in the unknown coeffs of f and Λ
- **Simplifying relaxation:** set $N = \Lambda f$ *Linearization*

Berlekamp-Welch decoding

Find N of degree $< k + t$ and Λ monic of degree $\leq t$ s.t.

$$N = \Lambda P \pmod{\prod_{i=1}^n (x - x_i)}$$

Linear system solving

$N(X) = n_0 + \dots + n_{k+t-1}X^{k+t-1}$ and $\Lambda(X) = \ell_0 + \dots + \ell_{t-1}X^{t-1} + X^t$.

Unknowns: $n_0, \dots, n_{k+t-1}, \ell_0, \dots, \ell_{t-1}$ ($k + 2t$ unknowns)

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$$\begin{bmatrix} 1 & x_1 & x_1^2 & \dots & x_1^{k+t-1} \\ 1 & x_2 & x_2^2 & \dots & x_2^{k+t-1} \\ \vdots & \vdots & \vdots & \dots & \vdots \\ 1 & x_n & x_n^2 & \dots & x_n^{k+t-1} \end{bmatrix} \begin{bmatrix} -P(x_1) \\ \vdots \\ -P(x_n) \end{bmatrix} \begin{bmatrix} 1 & x_1 & \dots & x_1^t \\ \vdots & \vdots & \dots & \vdots \\ 1 & x_n & \dots & x_n^t \end{bmatrix} \begin{bmatrix} n_0 \\ \vdots \\ n_{k+t-1} \\ \ell_0 \\ \vdots \\ \ell_{t-1} \\ 1 \end{bmatrix} = \begin{bmatrix} 0 \\ \vdots \\ 0 \end{bmatrix}$$

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Rational fraction reconstruction

Problem (RFR: Rational Fraction Reconstruction)

Given $A, B \in K[X]$ with $\deg B < \deg A = n$, find $f, g \in K[X]$, such that

$$\begin{cases} f &= gB \pmod{A} \\ \deg f &\leq d_F, \\ \deg g &\leq n - d_F - 1, \end{cases} .$$

Theorem

Let $(f_0 = A, f_1 = B, \dots, f_\ell)$ the sequence of remainders of the extended Euclidean algorithm applied on (A, B) and u_i, v_i the coefficients s.t. $f_i = u_i f_0 + v_i f_1$. Then, at iteration j s.t.

$$\deg f_j \leq d_F < \deg f_{j-1},$$

1. (f_j, v_j) is a solution of problem RFR.
2. it is *minimal*: any other solution (f, g) writes

$$f = qf_j, \quad g = qv_j \quad \text{for } q \in K[X].$$

Reed-Solomon decoding with Extended Euclidean algorithm

Berlekamp-Welch using extended Euclidean algorithm

- Erroneous interpolant: $P = \text{Interp}((y_i, x_i))$
- Error locator polynomial: $\Lambda = \prod_{i|y_i \text{ is erroneous}} (X - x_i)$

Find f with $\deg f \leq d_F$ s.t.. f and P match on $\geq n - t$ evaluations x_i .

$$\underbrace{\Lambda f}_{f_j} = \underbrace{\Lambda}_{g_j} P \pmod{\prod_{i=1}^n (X - x_i)}$$

and $(\Lambda f, \Lambda)$ is minimal

\Rightarrow computed by extended Euclidean Algorithm

$$f = f_j/g_j.$$

Another decoding algorithm: syndrom based

From now on: $K = \mathbb{F}_q, n = q - 1, x_i = \alpha^i$ where α is a primitive n -th root of unity.

$$E(f) = (f(\alpha^0), f(\alpha^1), f(\alpha^2), \dots, f(\alpha^{n-1})) = DFT_{\alpha}(f)$$

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Linear recurring sequences

Sequences $(a_0, a_1, \dots, a_n, \dots)$ such that

$$\forall j \geq 0 \quad a_{j+t} = \sum_{i=0}^{t-1} \lambda_i a_{i+j}$$

generator polynomial: $\Lambda(z) = z^t - \sum_{i=0}^{t-1} \lambda_i z^i$

minimal polynomial: $\Lambda(z)$ of minimal degree

linear complexity of $(a_i)_i$: degree t of the minimal polynomial Λ

Computing Λ_{\min} : Berlekamp/Massey algorithm, from $2t$ consecutive

Blahut theorem

Theorem ([Blahut84], [Prony1795])

The DFT_α of a vector of weight t has linear complexity t .

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Skecth of proof

- Let $v = e_i$ be a 1-weight vector. Then $DFT_\alpha(v) = Ev_{(\alpha^0, \alpha^1, \dots, \alpha^n)}(X^i) = ((\alpha^0)^i, (\alpha^1)^i, \dots, (\alpha^{n-1})^i)$ is linearly generated by $\Lambda(z) = z - \alpha^i$.

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- For $v = \sum_{j=1}^t e_{ij}$, the sequence $DFT_\alpha(v)$ is generated by $\text{ppcm}_j(z - \alpha^{ij}) = \prod_{j=1}^t (z - \alpha^{ij})$

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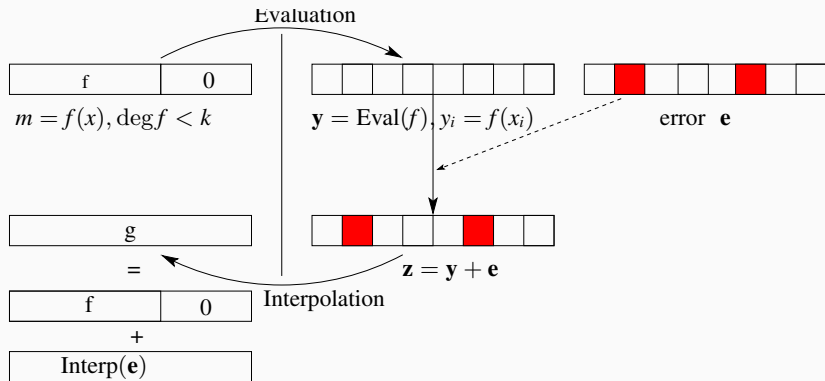
Corollary

The roots of Λ localize the non-zero elements of v : α^{ij} .

\Rightarrow error locator

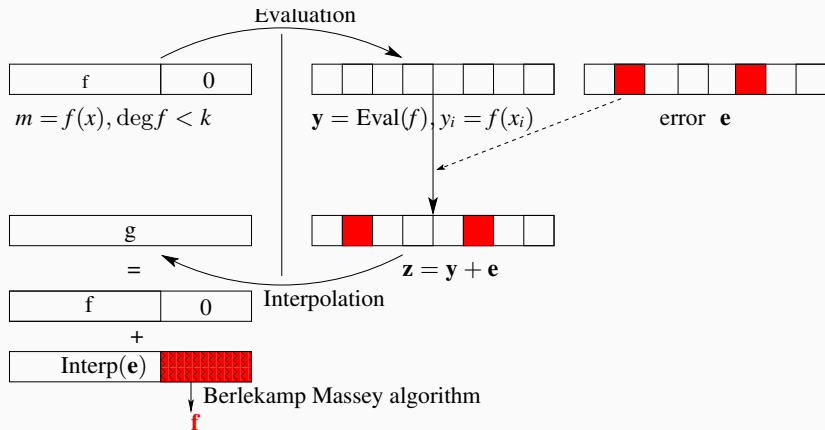
Syndrom Decoding of Reed-Solomon codes

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Codes derived from Reed Solomon codes

Generalized Reed-Solomon codes

$$\mathcal{C}_{GRS}(n, k, \mathbf{x}, \mathbf{v}) = \{(v_1 f(x_1), \dots, v_n f(x_n)), f \in K_{<k}[X]\}$$

- Same dimension and minimal distance \Rightarrow MDS
- Existence of a dual GRS code in the same evaluation points:
There is a vector \mathbf{w} such that

$$\mathcal{C}_{GRS}(n, k, \mathbf{x}, \mathbf{v})^\perp = \mathcal{C}_{GRS}(n, n - k, \mathbf{x}, \mathbf{w})$$

i.e.

$$G_{GRS}(\mathbf{x}, \mathbf{w})G_{GRS}(\mathbf{x}, \mathbf{v})^T = 0$$

(Proof in exercise)

Codes derived from Reed-Solomon

Alternant codes

Motivation: workaround the limitation of GRS codes: $n \leq q$
 \Rightarrow allow for arbitrary length n given a fixed field \mathbb{F}_q .

Idea: use a GRS over an extension \mathbb{F}_{q^m} , and restrict to \mathbb{F}_q .

Let

- $K = \mathbb{F}_q, \bar{K} = \mathbb{F}_{q^m}$ and $\mathbf{x} \in \bar{K}^n, \mathbf{v} \in (\bar{K}^*)^n$
- $\mathcal{C}_{\bar{K}} = \mathcal{C}_{GRS}(n, k, \mathbf{x}, \mathbf{v})$ over \bar{K} with minimum distance $D = n - k + 1$

Then

$$\mathcal{C}_{Alt} = \mathcal{C}_{\bar{K}} \cap \mathbb{F}_q^n$$

- Dimension: $\geq n - (D - 1)m = n - (n - k)m$
- Minimum distance: $\geq D$ by design

(Proof in exercise)

Codes derived from Reed Solomon codes

Goppa codes

- An instance of a broad class of Algebraic Geometric Codes (AG-codes).
- Can be viewed as an alternant code for some special multiplier vector \mathbf{v} .

Let

- $K = \mathbb{F}_q$, $\bar{K} = \mathbb{F}_{q^m}$ and $\mathbf{x} \in \bar{K}^n$
- $f \in \mathbb{F}_{q^m}[X]$, $\deg f = r$ and $mr < n$
- $\mathbf{v} = \left(\frac{f(x_i)}{\prod_{j \neq i} (x_j - x_i)} \right)$
- $\mathcal{C}_{\bar{K}} = \mathcal{C}_{GRS}(n, n - r, \mathbf{x}, \mathbf{v})$ over \bar{K} with parameters $(n, n - r, r + 1)$

Then

$$\mathcal{C}_{Goppa} = \mathcal{C}_{\bar{K}} \cap \mathbb{F}_q^n$$

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Then

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- Dimension: $\geq n - rm$
- Minimum distance: $\geq r + 1$
- Case $q = 2^e$, with f square free
 \Rightarrow Minimum distance: $= 2r + 1$

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McEliece cryptosystem

A code based cryptosystem [Mc Eliece 78]

Designing a one way function with trapdoor

Use the encoder of a linear code:

$$\text{message} \times [G] + \text{rand. error} = \text{codeword}$$

Encryption: is easy (matrix-vector product)

Decryption: decoding a received word

- easy for known codes
- NP-complete for random linear codes

Trapdoor: efficient decoding when the code family is known

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Trapdoor: efficient decoding when the code family is known

⇒ requires a family \mathcal{F} of codes

- indistinguishable from random linear codes
- with fast decoding algorithm

KeyGen

- Select an (n, k) binary linear code $\mathcal{C} \in \mathcal{F}$ correcting t errors, having an efficient decoding algorithm $\mathcal{A}_{\mathcal{C}}$,
- Form $G \in \mathbb{F}_q^{k \times n}$, a generator matrix for \mathcal{C}
- Sample uniformly a $k \times k$ non-singular matrix S
- Select uniformly an n -dimensional permutation P .
- $\hat{G} = SGP$

Public key: (\hat{G}, t)

Private key: (S, G, P)

Mc Eliece Cryptosystem

Encrypt

$$E(\mathbf{m}) = \mathbf{m}\hat{G} + \mathbf{e} = \mathbf{m}SGP + \mathbf{e} = \mathbf{y}$$

where \mathbf{e} is an error vector of Hamming weight at most t .

Decrypt

1. $\mathbf{y}' = \mathbf{y}P^{-1} = \mathbf{m}SG + \mathbf{e}P^{-1}$
2. $\mathbf{m}' = \mathcal{A}_C(\mathbf{y}') = \mathbf{m}S$
3. $\mathbf{m} = \mathbf{m}'S^{-1}$

Parameters for Mc Eliece in practice

(n, k, d)	Code family	key size	Security	Attack
(256, 128, 129)	Gen. Reed-Solomon	67ko	2^{95}	[SS92]

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$(171, 109, 61)_{128}$	Alg.-Geom. codes	16ko	2^{66}	[FM08, CMP14]
$(1024, 524, 101)_2$	Goppa codes	67kB	2^{62}	
$(2048, 1608, 48)_2$	Goppa codes	412kB	2^{96}	
$(6960, 5413, 239)_2$	Goppa codes	8MB	2^{128}	

Advantages of McEliece cryptosystem

Security

Based on two assumptions:

- decoding a random linear code is hard (NP complete reduction)
- the generator matrix of a Goppa code, perturbed by S and P looks random (indistinguishability)

Pros:

- faster encoding/decoding algorithms than RSA, ECC (for a given security parameter)
- Post quantum security: still robust against quantum computer attacks

Cons:

- harder to use for signature (non deterministic encoding)
- large key size