


Elementary algorithms for the factorization of bivariate lacunary polynomials



Bruno Grenet

U. Rennes 1 & ÉNS Lyon

Based on a joint work with

Arkadev Chattopadhyay

TIFR, Mumbai

Pascal Koiran

ÉNS Lyon

Natacha Portier


ÉNS Lyon

Yann Strozecki

U. Versailles

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Factorization: classical algorithms

Factorization of a polynomial P

Find F_1, \dots, F_t , irreducible, s.t. $P = F_1 \times \dots \times F_t$.

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 - ↪ $\mathbb{Q}(\alpha)[X]$ [A. Lenstra'83, Landau'83]
 - ↪ $\mathbb{Q}(\alpha)[X_1, \dots, X_n]$ [Kaltofen'85, A. Lenstra'87]
- ▶ $\mathbb{F}_q[X]$: randomized polynomial time [Berlekamp'67]
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Complexity

Polynomial in the **degree** of the polynomials

The case of lacunary polynomials

$$X^{102}Y^{101} + X^{101}Y^{102} - X^{101}Y^{101} - X - Y + 1$$

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- ▶ Restriction to **some** factors only

Integral roots of integral polynomials

Gap Theorem (Cucker-Koiran-Smale'98)

Let

$$P(X) = \underbrace{\sum_{j=1}^{\ell} a_j X^{\alpha_j}}_Q + \underbrace{\sum_{j=\ell+1}^k a_j X^{\alpha_j}}_R \in \mathbb{Z}[X]$$

with $\alpha_1 \leq \alpha_2 \leq \dots \leq \alpha_k$.

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Theorems

There exist deterministic polynomial time (in $\log(\deg P)$) algorithms to compute

- ▶ **linear** factors of **univariate** polynomials over \mathbb{Z} ;
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- ▶ **linear** factors of **bivariate** polynomials over \mathbb{Q} ;
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- ▶ **low-degree** factors of **multivariate** polynomials over $\mathbb{Q}(\alpha)$.
[Kaltofen-Koiran'06]

Linear factors of bivariate polynomials

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then $P \equiv 0$ iff both $Q \equiv 0$ and $R \equiv 0$.

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then every linear factor of P divides both Q and R if $uv \neq 0$.

Bound on the valuation

Definition

$\text{val}(P) =$ degree of the **lowest degree monomial** of $P \in \mathbb{K}[X]$

▶ $\text{val}(X^3 + 2X^5 - X^{17}) = 3$

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- ▶ Hajós' Lemma: if $\alpha_1 = \dots = \alpha_{\ell}$, $\text{val}(P) \leq \alpha_1 + (\ell - 1)$

The Wronskian

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Let $f_1, \dots, f_\ell \in \mathbb{K}[X]$. Then

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Proposition (Bôcher, 1900)

$\text{wr}(f_1, \dots, f_\ell) \neq 0 \iff$ the f_j 's are linearly independent.

Wronskian & valuation

Lemma

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Let $f_j = X^{\alpha_j}(uX + v)^{\beta_j}$, $uv \neq 0$, linearly independent, and s.t. $\alpha_j, \beta_j \geq \ell$. Then

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Proof of the Theorem

Theorem

Let $P = \sum_{j=1}^{\ell} a_j X^{\alpha_j} (uX+v)^{\beta_j} \neq 0$, with $uv \neq 0$ and $\alpha_1 \leq \dots \leq \alpha_{\ell}$.

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How far from optimality?

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$$X^{2\ell-3} = (1+X)^{2\ell+3} - 1 - \sum_{j=3}^{\ell} \frac{2\ell-3}{2j-5} \binom{\ell+j-5}{2j-6} X^{2j-5} (1+X)^{\ell-1-j}$$

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$$\alpha_{\ell+1} > \max_{1 \leq j \leq \ell} \left(\alpha_j + \binom{\ell+1-j}{2} \right) \geq \text{val}(Q),$$

then $P \equiv 0$ iff both $Q \equiv 0$ and $R \equiv 0$.

$$P = \left(c_{\text{val}(Q)} X^{\text{val}(Q)} + \dots \right) + X^{\alpha_{\ell+1}} \left(a_{\ell+1} (uX + v)^{\beta_{\ell+1}} + \dots \right)$$

Algorithms

$\mathbb{K} = \mathbb{Q}(\alpha)$: algebraic number field

Finding linear factors

Observation + Gap Theorem (recursively)

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$$\iff P(X, uX + v) \equiv 0$$

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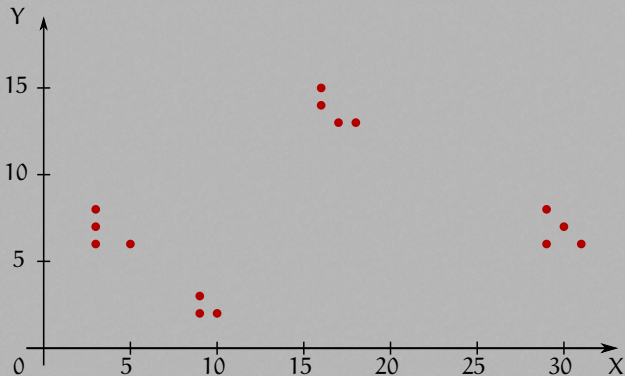
- \triangleright Independent from u and v
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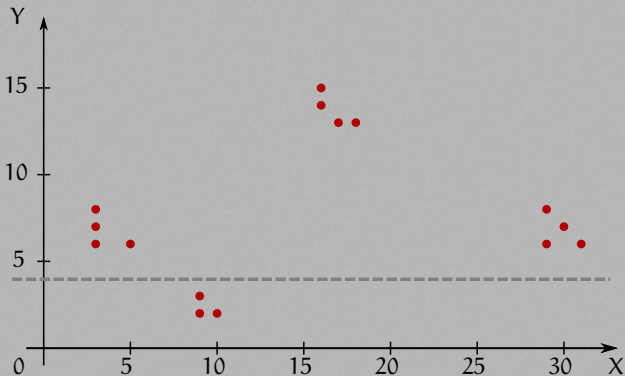
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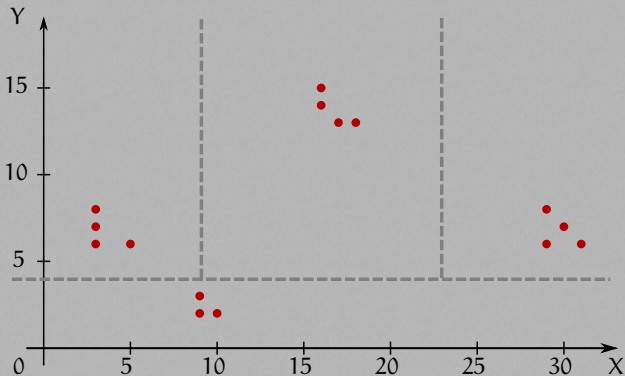
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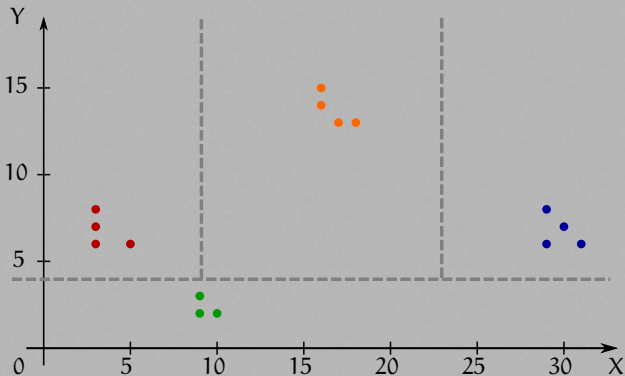
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 - 3.3 Apply some dense factorization algorithm to each Q_t or $\gcd(Q_1, \dots, Q_s)$ [Kaltofen'82, ..., Lecerf'07]

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$\mathbb{K} = \mathbb{F}_{p^s}$: field with p^s elements

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Thank you!

arXiv:1206.4224