

# Memory-efficient polynomial arithmetic

---

Pascal Giorgi<sup>1</sup>   **Bruno Grenet**<sup>1</sup>   Daniel S. Roche<sup>2</sup>

Séminaire LACL — 26 nov. 2018

<sup>1</sup> LIRMM, Université de Montpellier

<sup>2</sup> CS Department, US Naval Academy

# Polynomial arithmetic

- Multiplication:  $M(n)$ 
  - Naïve:  $2n^2 + 2n - 1$
  - Karatsuba:  $< 6.5n^{\log_2 3}$
  - Toom-3:  $< 18.75n^{\log_3 5}$
  - FFT-based:  $4.5n \log n + O(n)$  or  $O(n \log n \log \log n)$

# Polynomial arithmetic

- Multiplication:  $M(n)$ 
  - Naïve:  $2n^2 + 2n - 1$
  - Karatsuba:  $< 6.5n^{\log_2 3}$
  - Toom-3:  $< 18.75n^{\log_3 5}$
  - FFT-based:  $4.5n \log n + O(n)$  or  $O(n \log n \log \log n)$
- Other tasks:
  - Euclidean division:  $5M(n) + o(M(n))$
  - GCD:  $O(M(n) \log n)$
  - Evaluation & interpolation:  $O(M(n) \log n)$
  - Power series computations:  $O(M(n))$  or  $O(M(n) \log n)$
  - ...

# Polynomial arithmetic

- Multiplication:  $M(n)$ 
  - Naïve:  $2n^2 + 2n - 1$
  - Karatsuba:  $< 6.5n^{\log_2 3}$
  - Toom-3:  $< 18.75n^{\log_3 5}$
  - FFT-based:  $4.5n \log n + O(n)$  or  $O(n \log n \log \log n)$
- Other tasks:
  - Euclidean division:  $5M(n) + o(M(n))$
  - GCD:  $O(M(n) \log n)$
  - Evaluation & interpolation:  $O(M(n) \log n)$
  - Power series computations:  $O(M(n))$  or  $O(M(n) \log n)$
  - ...

**What about space complexity?**

# Space complexity of polynomial arithmetic

- Quadratic multiplication algorithm:  $O(1)$ <sup>1</sup>
- Karatsuba, Toom-3, FFT:  $O(n)$
- Other tasks: often  $O(n)$

---

1. Models to be defined later.

# Space complexity of polynomial arithmetic

- Quadratic multiplication algorithm:  $O(1)$ <sup>1</sup>
  - Karatsuba, Toom-3, FFT:  $O(n)$
  - Other tasks: often  $O(n)$
  - Improvements on Karatsuba's algorithm:
    - Thomé (2002):  $n + O(\log n)$
    - Roche (2009):  $O(\log n)$
- time complexity multiplied by a constant

---

1. Models to be defined later.

# Space complexity of polynomial arithmetic

- Quadratic multiplication algorithm:  $O(1)$ <sup>1</sup>
- Karatsuba, Toom-3, FFT:  $O(n)$
- Other tasks: often  $O(n)$
  
- Improvements on Karatsuba's algorithm:
  - Thomé (2002):  $n + O(\log n)$
  - Roche (2009):  $O(\log n)$→ time complexity multiplied by a constant
  
- Improvements on FFT-based algorithms:
  - Roche (2009):  $O(1)$  if  $n = 2^k$
  - Harvey & Roche (2010):  $O(1)$→ time complexity multiplied by a constant

---

1. Models to be defined later.

## Space-complexity models

*Algebraic*-RAM machine:

→ *Standard* registers of size  $O(\log n)$

→ *Algebraic* registers containing one coefficient



# Space-complexity models

*Algebraic*-RAM machine:

→ *Standard* registers of size  $O(\log n)$

→ *Algebraic* registers containing one coefficient

- Read-only input / write-only output
  - (Close to) classical complexity theory
  - Lower bound  $\Omega(n^2)$  on time  $\times$  space for multiplication

# Space-complexity models

*Algebraic*-RAM machine:

→ *Standard* registers of size  $O(\log n)$

→ *Algebraic* registers containing one coefficient

- Read-only input / write-only output
  - (Close to) classical complexity theory
  - Lower bound  $\Omega(n^2)$  on time  $\times$  space for multiplication
- Read-only input / read-write output
  - Thomé (2002), Roche (2009) and Harvey & Roche (2010)
  - *Reasonable* from a programmer's viewpoint

# Space-complexity models

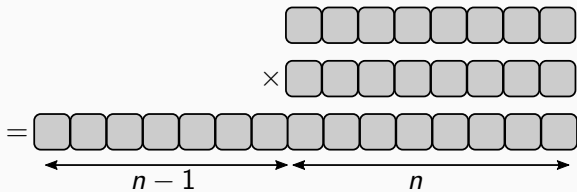
*Algebraic*-RAM machine:

→ *Standard* registers of size  $O(\log n)$

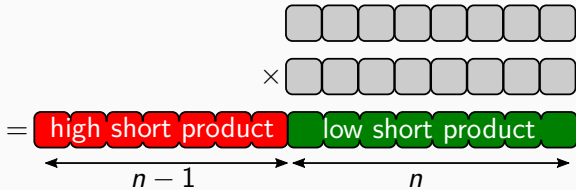
→ *Algebraic* registers containing one coefficient

- Read-only input / write-only output
  - (Close to) classical complexity theory
  - Lower bound  $\Omega(n^2)$  on time  $\times$  space for multiplication
- Read-only input / read-write output
  - Thomé (2002), Roche (2009) and Harvey & Roche (2010)
  - *Reasonable* from a programmer's viewpoint
- Read-write input and output
  - Too permissive in general
  - Special case: inputs must be restored at the end

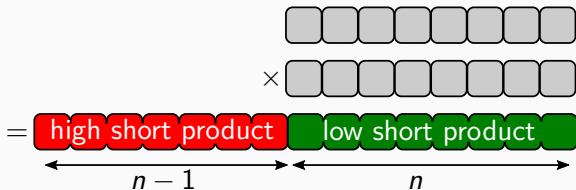
# Short product



# Short product

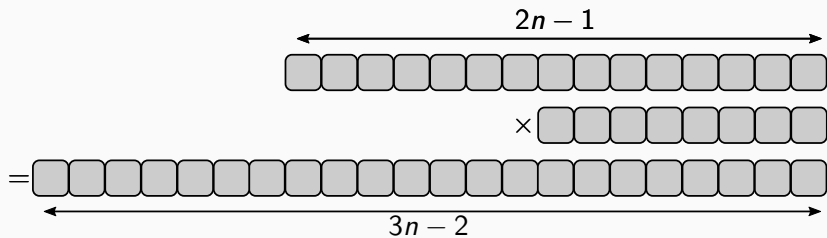


# Short product

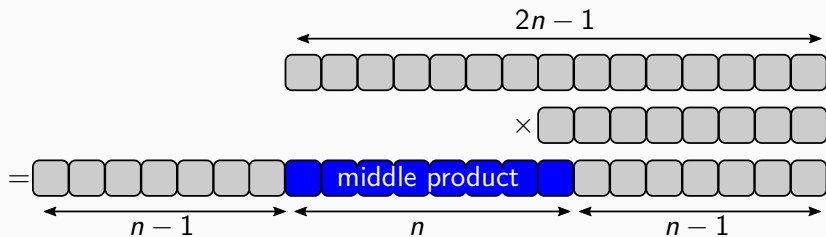


- Low short product: product of truncated power series
- Useful in other algorithms
- Time complexity:  $M(n)$
- Space complexity:  $O(n)$

# Middle product

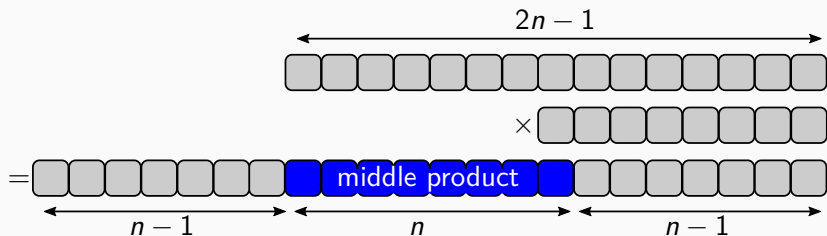


# Middle product



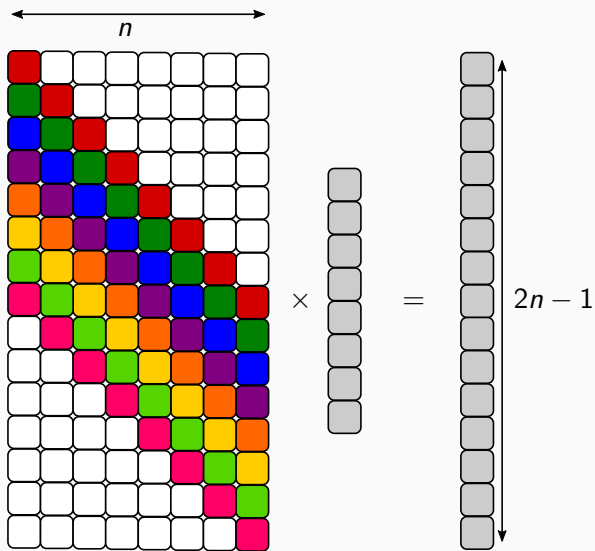


## Middle product

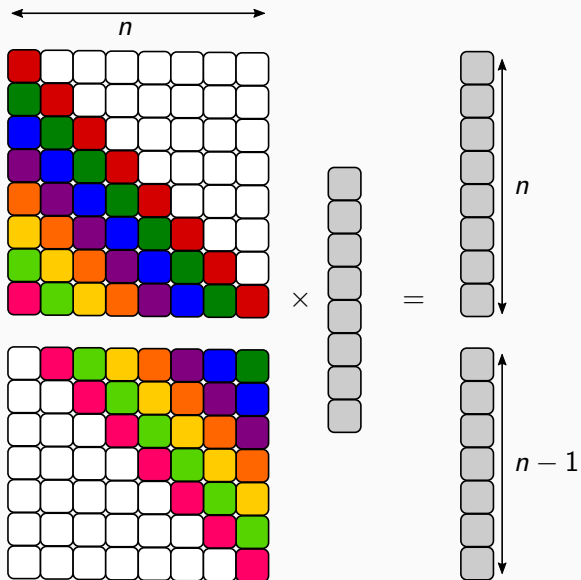


- Useful for Newton iteration
  - $G \leftarrow G(1 - GF) \bmod X^{2n}$  with  $GF = 1 + X^n H$
  - division, square root, ...
- Time complexity:  $M(n) \rightarrow$  Tellegen's transposition
- Space complexity:  $O(n)$

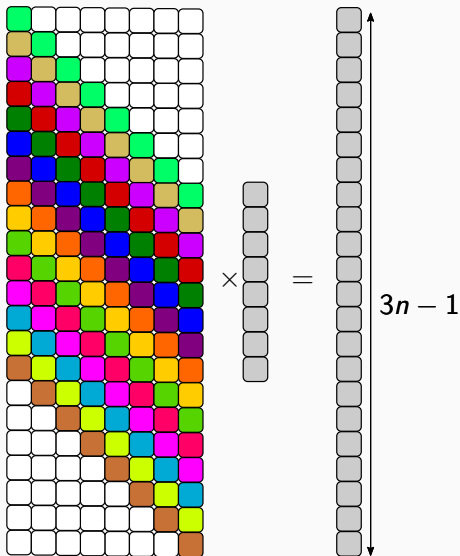
# Multiplications as linear maps



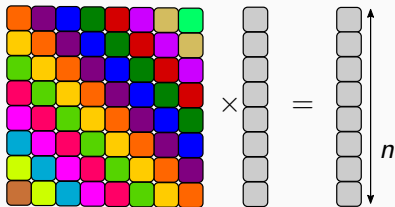
# Multiplications as linear maps



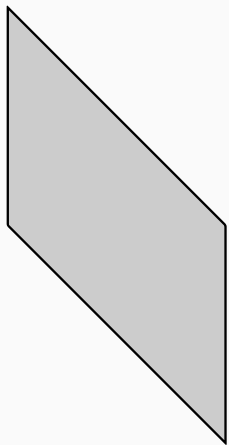
# Multiplications as linear maps



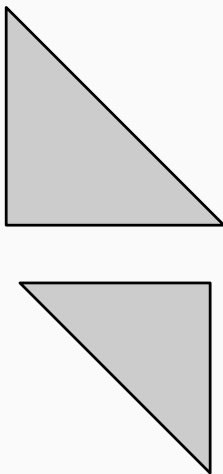
# Multiplications as linear maps



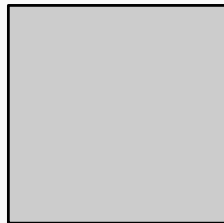
## Multiplications as linear maps



Full product



Short products



Middle product

Space-preserving reductions

In-place algorithms from out-of-place algorithms

# Space-preserving reductions

---



## Definitions.

- $\text{TISP}(t(n), s(n))$ : decidable in time  $t(n)$  and space  $s(n)$
- $A \leq B$ :  $A$  decidable with oracle  $B$ 
  - constant number of calls to oracle
  - negligible extra time
  - without extra space ( $O(1)$ )
- $A \equiv B$ :  $A \leq B$  and  $B \leq A$

## Definitions.

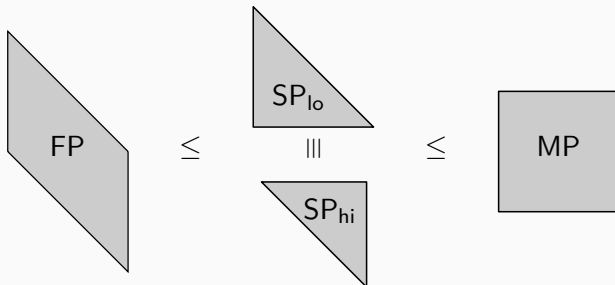
- $\text{TISP}(t(n), s(n))$ : decidable in time  $t(n)$  and space  $s(n)$
- $A \leq B$ :  $A$  decidable with oracle  $B$ 
  - constant number of calls to oracle
  - negligible extra time
  - without extra space ( $O(1)$ )
- $A \equiv B$ :  $A \leq B$  and  $B \leq A$

## Proposition.

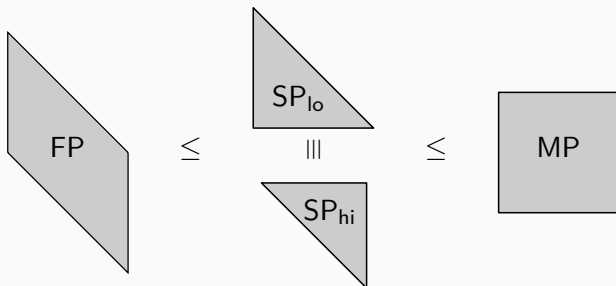
If  $B \in \text{TISP}(t(n), s(n))$  and  $A \leq B$ , then

$$A \in \text{TISP}(O(t(n)), s(n) + O(1))$$

**Theorem.**



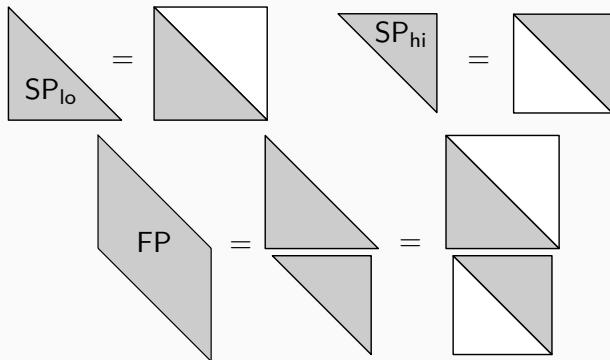
## Theorem.



## Remark.

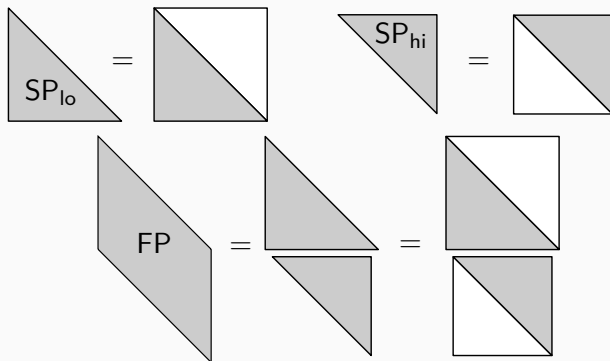
- $FP : n \times n \rightarrow 2n - 1$
- $SP_{lo} : n \times n \rightarrow n$ ;  $SP_{hi} : n - 1 \times n - 1 \rightarrow n - 1$ ;
- $MP : 2n - 1 \times n \rightarrow n$

## Visual proof



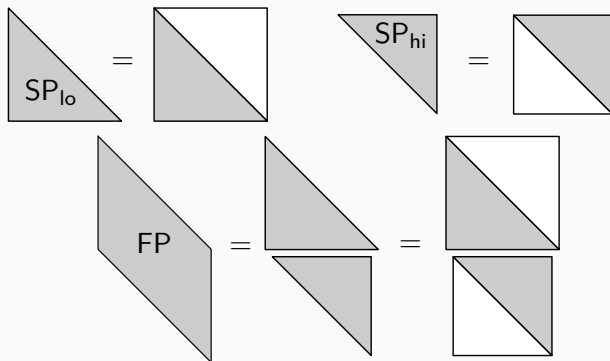
- Use of *fake padding* (in input, **not** in output!)

## Visual proof



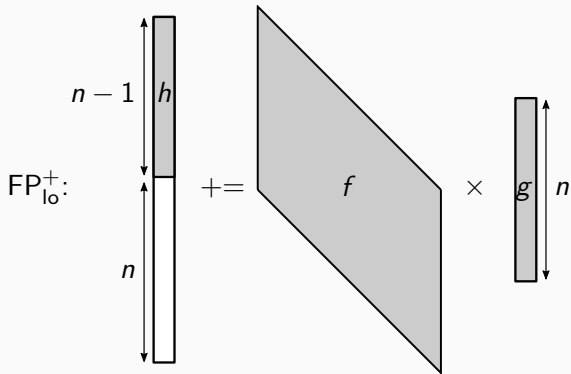
- Use of *fake padding* (in input, **not** in output!)
- $SP_{lo}(n) \leq MP(n)$ ;  $SP_{hi}(n) \leq MP(n - 1)$

## Visual proof



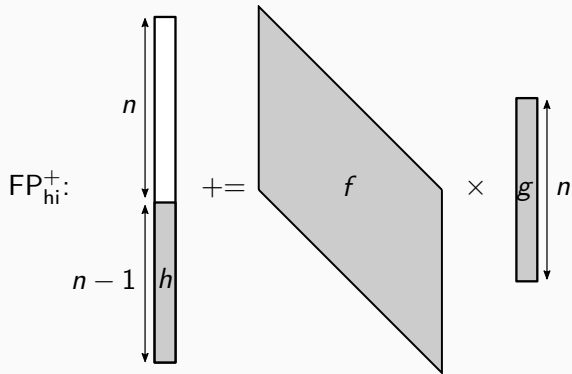
- Use of *fake padding* (in input, **not** in output!)
- $SP_{lo}(n) \leq MP(n)$ ;  $SP_{hi}(n) \leq MP(n - 1)$
- $FP(n) \leq SP_{hi}(n) + SP_{lo}(n) \leq MP(n) + MP(n - 1)$

# Half-additive full product: $h \leftarrow h + f \cdot g$

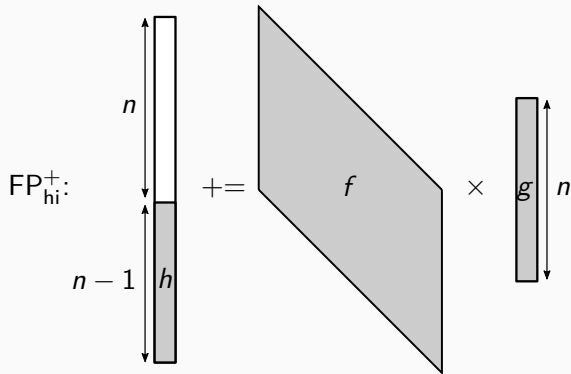




# Half-additive full product: $h \leftarrow h + f \cdot g$

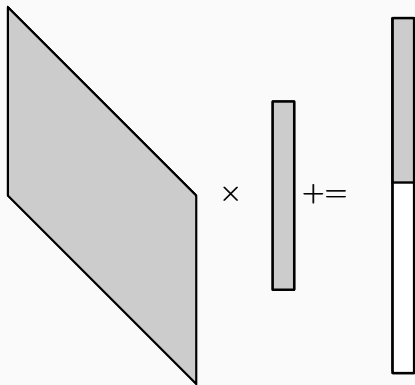


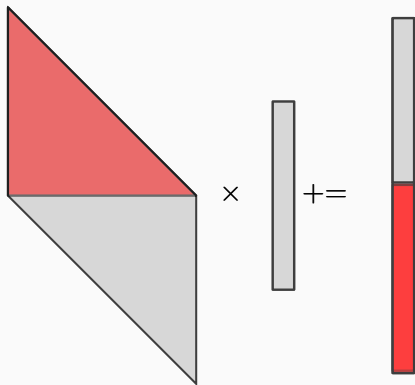
# Half-additive full product: $h \leftarrow h + f \cdot g$

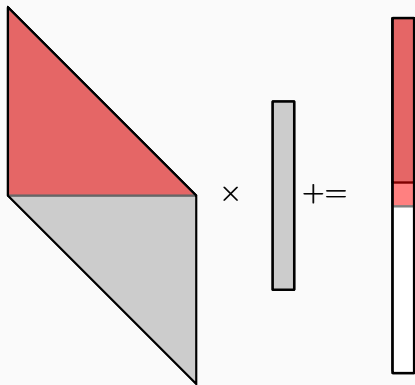


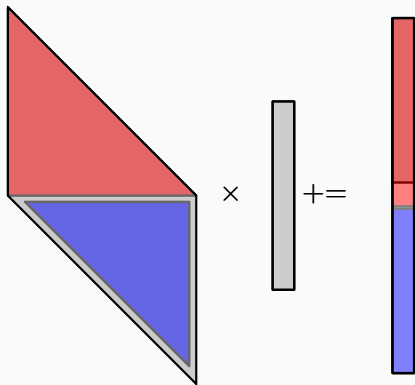
**Remark.**  $FP_{lo}^+ \equiv FP_{hi}^+$

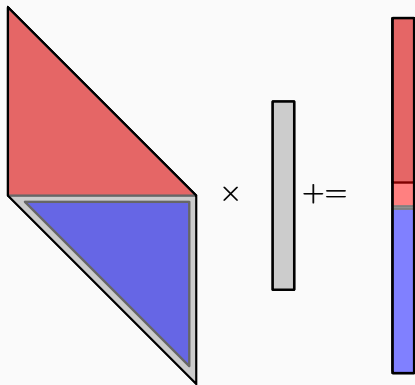
**Theorem.**  $FP^+ \equiv SP$









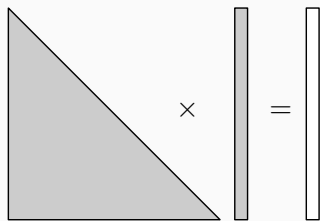


$$FP_{lo}^+(n) \leq SP_{lo}(n) + SP_{hi}(n) + n - 1$$

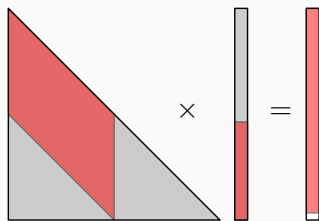
$$(f_0 + X^{\lceil n/2 \rceil} f_1) \cdot (g_0 + X^{\lceil n/2 \rceil} g_1) = f_0 g_0 + X^{\lceil n/2 \rceil} (f_0 g_1 + f_1 g_0) \pmod{X^n}$$



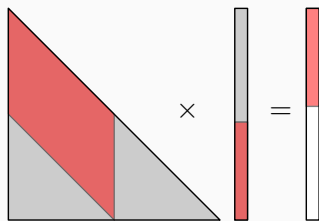
$$(f_0 + X^{\lceil n/2 \rceil} f_1) \cdot (g_0 + X^{\lceil n/2 \rceil} g_1) = f_0 g_0 + X^{\lceil n/2 \rceil} (f_0 g_1 + f_1 g_0) \pmod{X^n}$$



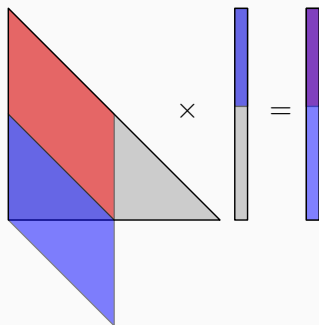
$$(f_0 + X^{\lceil n/2 \rceil} f_1) \cdot (g_0 + X^{\lceil n/2 \rceil} g_1) = f_0 g_0 + X^{\lceil n/2 \rceil} (f_0 g_1 + f_1 g_0) \pmod{X^n}$$



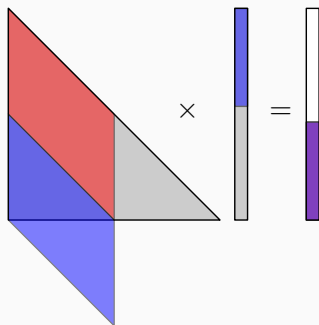
$$(f_0 + X^{\lceil n/2 \rceil} f_1) \cdot (g_0 + X^{\lceil n/2 \rceil} g_1) = f_0 g_0 + X^{\lceil n/2 \rceil} (f_0 g_1 + f_1 g_0) \pmod{X^n}$$



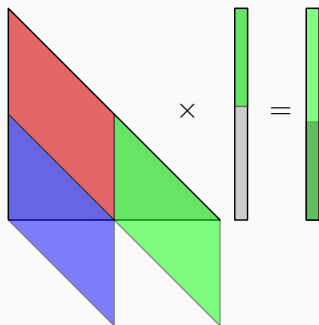
$$(f_0 + X^{\lceil n/2 \rceil} f_1) \cdot (g_0 + X^{\lceil n/2 \rceil} g_1) = f_0 g_0 + X^{\lceil n/2 \rceil} (f_0 g_1 + f_1 g_0) \pmod{X^n}$$



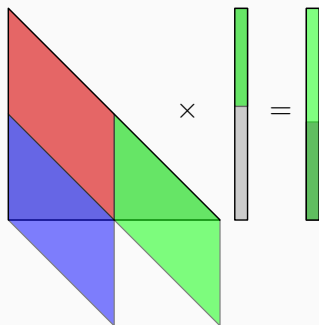
$$(f_0 + X^{\lceil n/2 \rceil} f_1) \cdot (g_0 + X^{\lceil n/2 \rceil} g_1) = f_0 g_0 + X^{\lceil n/2 \rceil} (f_0 g_1 + f_1 g_0) \pmod{X^n}$$



$$(f_0 + X^{\lceil n/2 \rceil} f_1) \cdot (g_0 + X^{\lceil n/2 \rceil} g_1) = f_0 g_0 + X^{\lceil n/2 \rceil} (f_0 g_1 + f_1 g_0) \pmod{X^n}$$



$$(f_0 + X^{\lceil n/2 \rceil} f_1) \cdot (g_0 + X^{\lceil n/2 \rceil} g_1) = f_0 g_0 + X^{\lceil n/2 \rceil} (f_0 g_1 + f_1 g_0) \pmod{X^n}$$



$$SP_{lo}(n) \leq FP(\lfloor n/2 \rfloor) + FP_{lo}^+(\lfloor n/2 \rfloor) + FP_{hi}^+(\lceil n/2 \rceil)$$

## Converse directions?

- From FP to SP:
  - problem with the output size
  - without space restriction: is  $SP(n) \simeq FP(n/2)$ ?



## Converse directions?

- From FP to SP:
  - problem with the output size
  - without space restriction: is  $SP(n) \simeq FP(n/2)$ ?
- From FP to MP:
  - partial result:  $\log(n)$  increase in time complexity
  - without space restriction: Tellegen's transposition principle

# **In-place algorithms from out-of-place algorithms**

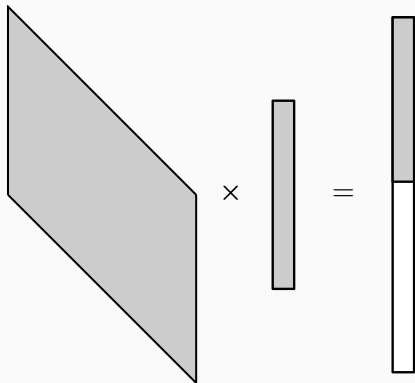
---

- In-place algorithms parametrized by out-of-place algorithm
  - Out-of-place: Uses  $cn$  extra space
  - Constant  $c$  known in the algorithm

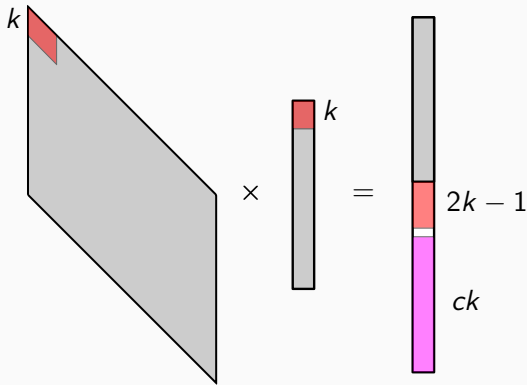
- In-place algorithms parametrized by out-of-place algorithm
  - Out-of-place: Uses  $cn$  extra space
  - Constant  $c$  known in the algorithm
- Goal:
  - Space complexity:  $O(1)$
  - Time complexity: closest to the out-of-place algorithm

- In-place algorithms parametrized by out-of-place algorithm
  - Out-of-place: Uses  $cn$  extra space
  - Constant  $c$  known in the algorithm
- Goal:
  - Space complexity:  $O(1)$
  - Time complexity: closest to the out-of-place algorithm
- Technique:
  - Oracle calls in smaller size
  - Recursive call

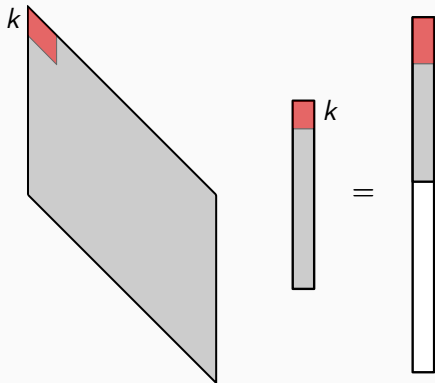
$$(f_0 + X^k \hat{f}) \cdot (g_0 + X^k \hat{g}) = f_0 g_0 + X^k (f_0 \hat{g} + \hat{f} g_0) + X^{2k} \hat{f} \hat{g}$$



$$(f_0 + X^k \hat{f}) \cdot (g_0 + X^k \hat{g}) = f_0 g_0 + X^k (f_0 \hat{g} + \hat{f} g_0) + X^{2k} \hat{f} \hat{g}$$

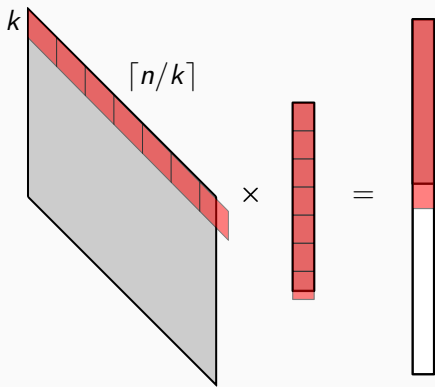


$$(f_0 + X^k \hat{f}) \cdot (g_0 + X^k \hat{g}) = f_0 g_0 + X^k (f_0 \hat{g} + \hat{f} g_0) + X^{2k} \hat{f} \hat{g}$$

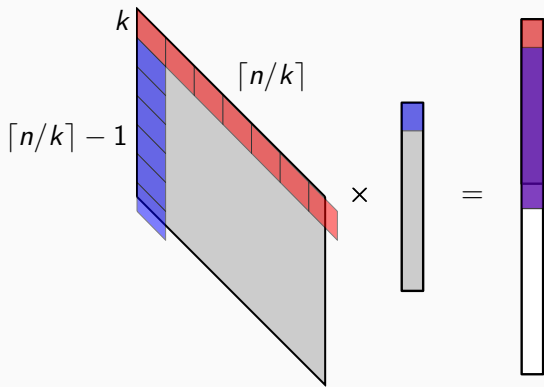




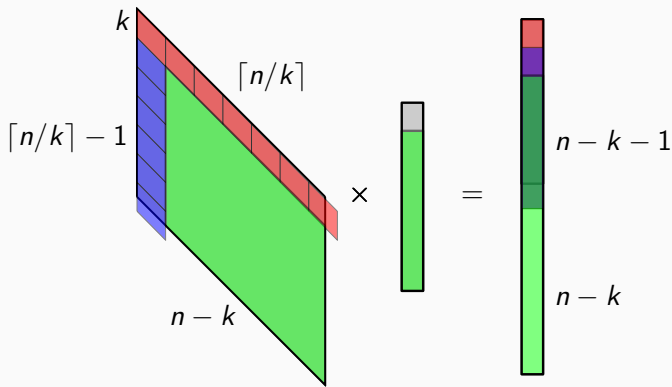
$$(f_0 + X^k \hat{f}) \cdot (g_0 + X^k \hat{g}) = f_0 g_0 + X^k (f_0 \hat{g} + \hat{f} g_0) + X^{2k} \hat{f} \hat{g}$$



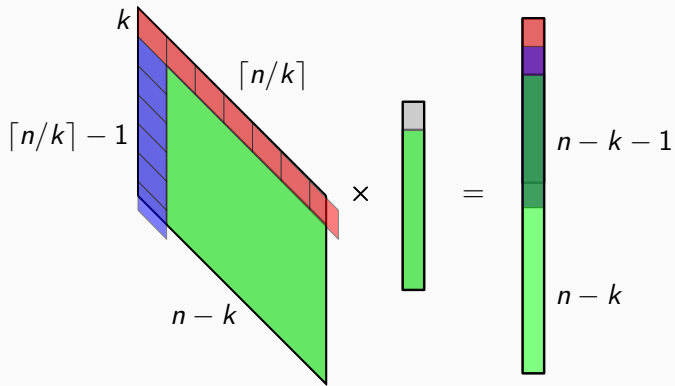
$$(f_0 + X^k \hat{f}) \cdot (g_0 + X^k \hat{g}) = f_0 g_0 + X^k (f_0 \hat{g} + \hat{f} g_0) + X^{2k} \hat{f} \hat{g}$$



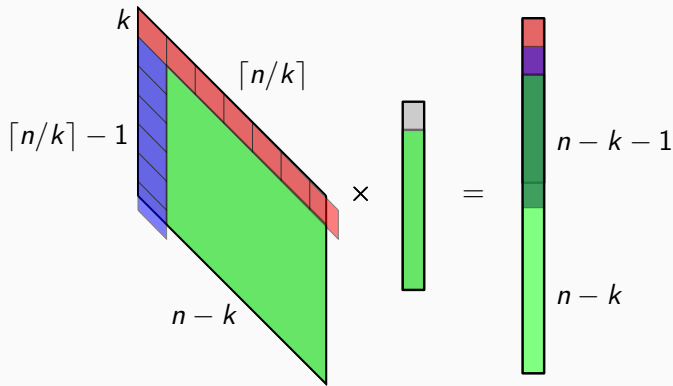
$$(f_0 + X^k \hat{f}) \cdot (g_0 + X^k \hat{g}) = f_0 g_0 + X^k (f_0 \hat{g} + \hat{f} g_0) + X^{2k} \hat{f} \hat{g}$$



# Analysis

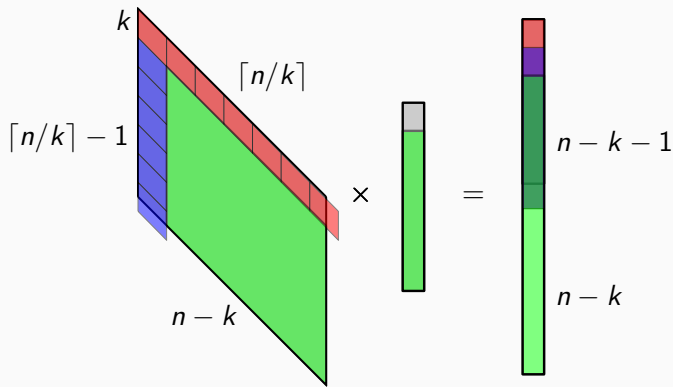


# Analysis



- $ck + 2k - 1 \leq n - k \rightarrow k \leq \frac{n+1}{c+3}$
- $T(n) = (2\lceil n/k \rceil - 1)(M(k) + 2k - 1) + T(n - k)$

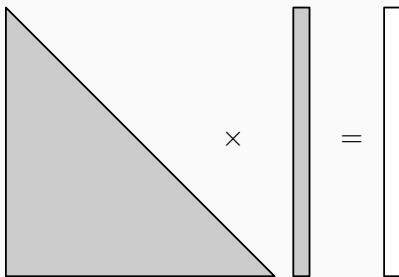
# Analysis



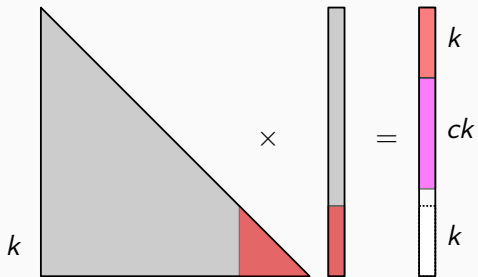
- $ck + 2k - 1 \leq n - k \rightarrow k \leq \frac{n+1}{c+3}$
- $T(n) = (2\lceil n/k \rceil - 1)(M(k) + 2k - 1) + T(n - k)$

$$T(n) \leq (2c + 7)M(n) + o(M(n))$$

## In-place short product

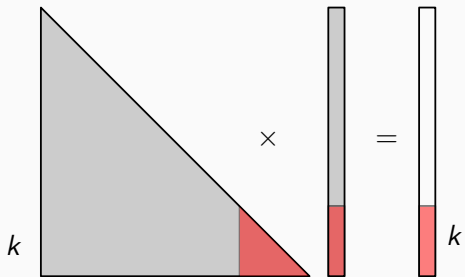


## In-place short product

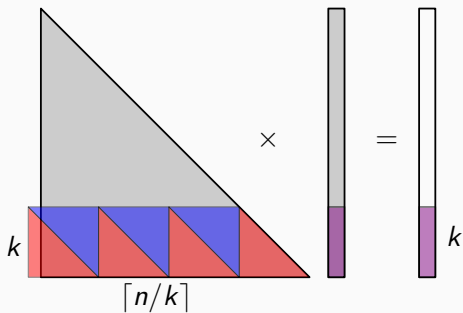




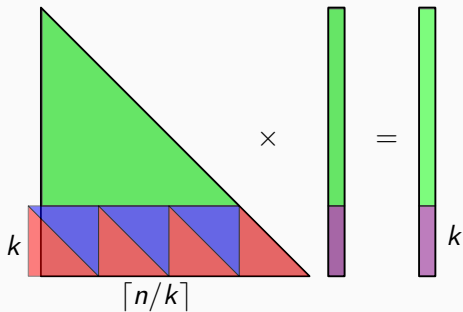
## In-place short product



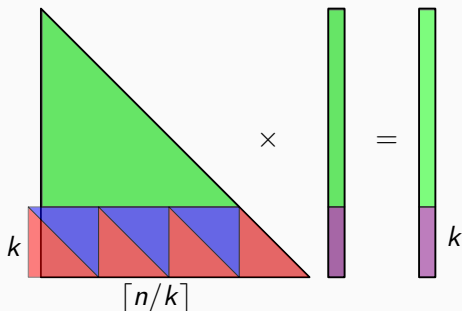
## In-place short product



## In-place short product

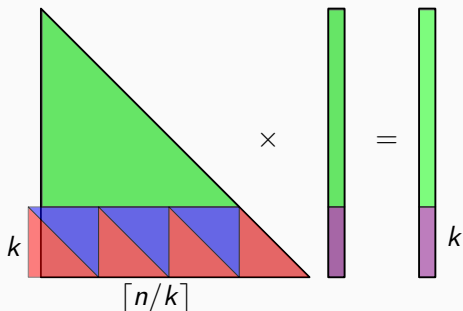


## In-place short product



- $k \leq n/(c + 2)$
- $T(n) = \lceil n/k \rceil M(k) + (\lceil n/k \rceil - 1)M(k-1) + 2k(\lceil n/k \rceil - 1) + T(n-k)$

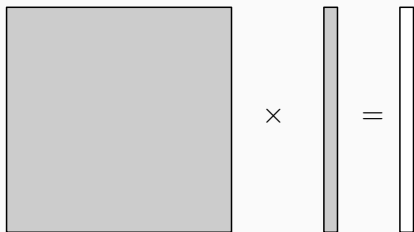
## In-place short product



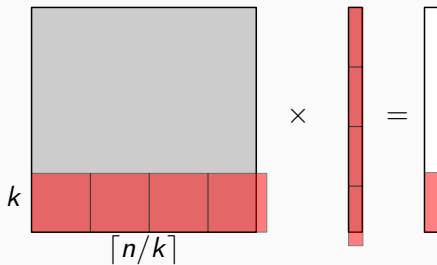
- $k \leq n/(c + 2)$
- $T(n) = \lceil n/k \rceil M(k) + (\lceil n/k \rceil - 1)M(k-1) + 2k(\lceil n/k \rceil - 1) + T(n-k)$

$$T(n) \leq (2c + 5)M(n) + o(M(n))$$

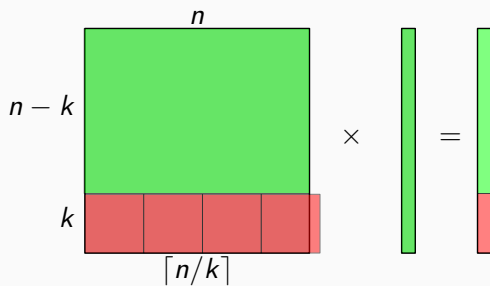
## In-place middle product



## In-place middle product

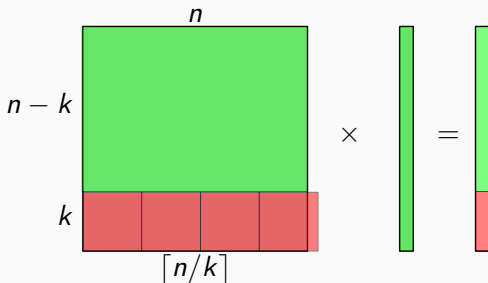


## In-place middle product



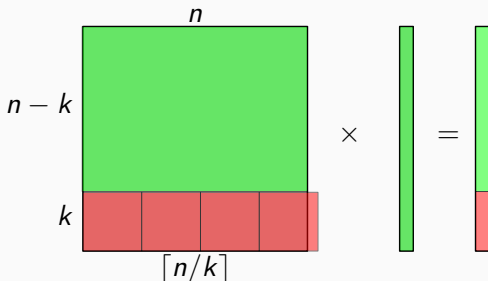


## In-place middle product



- Only  $f$ 's size decreases, not  $g$ !
- $T(n, m) = \lceil n/k \rceil M(k) + T(n, m - k)$

## In-place middle product



- Only  $f$ 's size decreases, not  $g$ !
- $T(n, m) = \lceil n/k \rceil M(k) + T(n, m - k)$

$$T(n, m) \leq M(n) \log_{1+1/c+1}(m) + o(M(n) \log m)$$

Work in progress!

### Work in progress!

- Use our in-place algorithms as building blocks
    - Newton iteration: division, square root, . . .
    - Evaluation & interpolation
- (at most)  $\log(n)$  increase in complexity

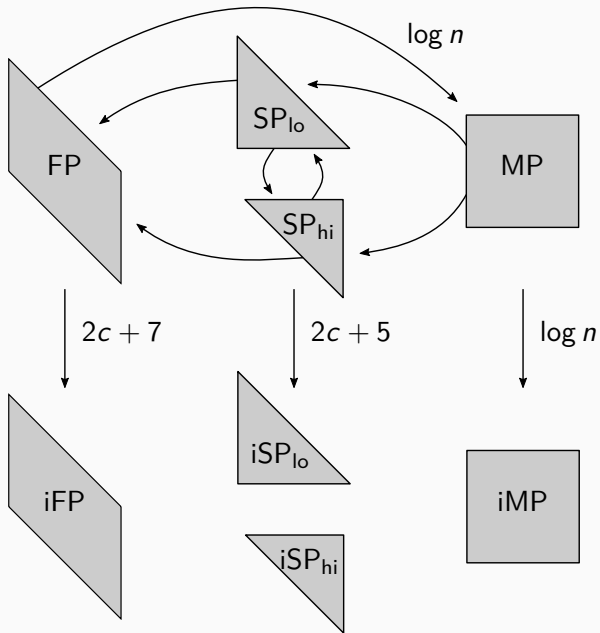
### Work in progress!

- Use our in-place algorithms as building blocks
    - Newton iteration: division, square root, . . .
    - Evaluation & interpolation
- (at most)  $\log(n)$  increase in complexity

#### Remark.

- In place: division with remainder
- Only quotient or only remainder: not clear
- Main difficulty: size of the output

# Summary



# Conclusion

- TISP-reductions between polynomial products
- Self-reductions to obtain in-place algorithms

# Conclusion

- TISP-reductions between polynomial products
- Self-reductions to obtain in-place algorithms

## Comparisons

- Better use specialized in-place algorithms. . .
- . . . when they exist!



# Conclusion

- TISP-reductions between polynomial products
- Self-reductions to obtain in-place algorithms

## Comparisons

- Better use specialized in-place algorithms. . .
- . . . when they exist!

## Main open problems

- Remove the  $\log(n)$  for middle product or prove a lower bound
  - Karatsuba's algorithm with read-write restorable inputs
- General result on Tellegen's transposition principle

# Conclusion

- TISP-reductions between polynomial products
- Self-reductions to obtain in-place algorithms

## Comparisons

- Better use specialized in-place algorithms. . .
- . . . when they exist!

## Main open problems

- Remove the  $\log(n)$  for middle product or prove a lower bound
  - Karatsuba's algorithm with read-write restorable inputs
- General result on Tellegen's transposition principle

**Thank you!**