

Generic reductions for in-place polynomial multiplication

Pascal Giorgi¹ **Bruno Grenet**¹ Daniel S. Roche²

ISSAC'19 – Beijing – July 15-18, 2019

¹ LIRMM, Université de Montpellier

² CS Department, US Naval Academy

Multiplication of polynomials: $M(n)$

Naive: $O(n^2)$

Karatsuba: $O(n^{\log_2 3}) = O(n^{1.585})$

Karatsuba (1962)

Toom-3: $O(n^{\log_3 5}) = O(n^{1.465})$

Toom (1963), Cook (1966)

FFT-based algorithms:

$O(n \log n)$ with $\omega^{2^n} = 1$

Cooley, Tukey (1965)

$O(n \log n \log \log n)$

Cantor, Kaltofen (1991)

...

Multiplication of polynomials: $M(n)$

Naive: $O(n^2)$

Karatsuba: $O(n^{\log_2 3}) = O(n^{1.585})$

Karatsuba (1962)

Toom-3: $O(n^{\log_3 5}) = O(n^{1.465})$

Toom (1963), Cook (1966)

FFT-based algorithms:

$O(n \log n)$ with $\omega^{2^n} = 1$

Cooley, Tukey (1965)

$O(n \log n \log \log n)$

Cantor, Kaltofen (1991)

...

Other polynomial operations:

Euclidean division: $O(M(n))$

GCD: $O(M(n) \log n)$

Evaluation & interpolation: $O(M(n) \log n)$

...

Multiplication of polynomials: $M(n)$

Naive: $O(n^2)$

Karatsuba: $O(n^{\log_2 3}) = O(n^{1.585})$

Karatsuba (1962)

Toom-3: $O(n^{\log_3 5}) = O(n^{1.465})$

Toom (1963), Cook (1966)

FFT-based algorithms:

$O(n \log n)$ with $\omega^{2^n} = 1$

Cooley, Tukey (1965)

$O(n \log n \log \log n)$

Cantor, Kaltofen (1991)

...

Other polynomial operations:

Euclidean division: $O(M(n))$

GCD: $O(M(n) \log n)$

Evaluation & interpolation: $O(M(n) \log n)$

...

What about space complexity?

Space-complexity models

Algebraic-RAM Machine

- *Standard* registers of size $O(\log n)$
- *Algebraic* registers containing one coefficient

→ Count *extra* registers used (not input nor output)

Space-complexity models

Algebraic-RAM Machine

- *Standard* registers of size $O(\log n)$
- *Algebraic* registers containing one coefficient

→ Count *extra* registers used (not input nor output)

Read-write permissions

- Read-only input / write-only output
 - (Close to) classical complexity theory
 - Lower bound $\Omega(n^2)$ on time \times space for multiplication

Space-complexity models

Algebraic-RAM Machine

- *Standard* registers of size $O(\log n)$
- *Algebraic* registers containing one coefficient

→ Count *extra* registers used (not input nor output)

Read-write permissions

- Read-only input / write-only output
 - (Close to) classical complexity theory
 - Lower bound $\Omega(n^2)$ on time \times space for multiplication
- Read-write input and output
 - Too permissive in general
 - Variant: inputs must be restored at the end

Space-complexity models

Algebraic-RAM Machine

- *Standard* registers of size $O(\log n)$
- *Algebraic* registers containing one coefficient

→ Count *extra* registers used (not input nor output)

Read-write permissions

- Read-only input / write-only output
 - (Close to) classical complexity theory
 - Lower bound $\Omega(n^2)$ on time \times space for multiplication
- Read-write input and output
 - Too permissive in general
 - Variant: inputs must be restored at the end
- Read-only input / read-write output
 - *Reasonable* from a programmer's viewpoint

Space-complexity models

Algebraic-RAM Machine

- *Standard* registers of size $O(\log n)$
- *Algebraic* registers containing one coefficient

→ Count *extra* registers used (not input nor output)

Read-write permissions

- Read-only input / write-only output
 - (Close to) classical complexity theory
 - Lower bound $\Omega(n^2)$ on time \times space for multiplication
- Read-write input and output
 - Too permissive in general
 - Variant: inputs must be restored at the end
- ✓ ▪ **Read-only input / read-write output**
 - *Reasonable* from a programmer's viewpoint

Space complexity of multiplication algorithms

	space	time
Naive algorithm:	$O(1)$	$O(n^2)$

Space complexity of multiplication algorithms

	space	time
Naive algorithm:	$O(1)$	$O(n^2)$
Karatsuba's algorithm:		
Original (1962)	$O(n)$	$\sim 6.5n^{\log 3}$
Thomé (2002)	$n + O(\log n)$	$\sim 7n^{\log 3}$
Roche (2009)	$O(\log n)$	$\sim 10n^{\log 3}$

Space complexity of multiplication algorithms

	space	time
Naive algorithm:	$O(1)$	$O(n^2)$
Karatsuba's algorithm:		
Original (1962)	$O(n)$	$\sim 6.5n^{\log_3 3}$
Thomé (2002)	$n + O(\log n)$	$\sim 7n^{\log_3 3}$
Roche (2009)	$O(\log n)$	$\sim 10n^{\log_3 3}$
Toom-Cook algorithms:		
Toom-3 (1963)	$O(n)$	$\sim \frac{73}{4}n^{\log_3 5}$

Space complexity of multiplication algorithms

	space	time
Naive algorithm:	$O(1)$	$O(n^2)$
Karatsuba's algorithm:		
Original (1962)	$O(n)$	$\sim 6.5n^{\log_3 3}$
Thomé (2002)	$n + O(\log n)$	$\sim 7n^{\log_3 3}$
Roche (2009)	$O(\log n)$	$\sim 10n^{\log_3 3}$
Toom-Cook algorithms:		
Toom-3 (1963)	$O(n)$	$\sim \frac{73}{4}n^{\log_3 5}$
FFT/TFT-based algorithms (given $\omega^{2^n} = 1$):		
Original (1965)	$O(n)$	$\sim 9n \log(2n)$
Roche (2009) if $n = 2^k$	$O(1)$	$\sim 11n \log(2n)$
Harvey, Roche (2010)	$O(1)$	$O(n \log(n))$

Can *every* polynomial multiplication algorithm be performed without extra memory?

Can *every* polynomial multiplication algorithm be performed without extra memory?

- Karatsuba? Toom-Cook?
- FFT/TFT without $\omega^{2n} = 1$?
- What about other products (short and middle)?

Can *every* polynomial multiplication algorithm be performed without extra memory?

- Karatsuba? Toom-Cook?
- FFT/TFT without $\omega^{2n} = 1$?
- What about other products (short and middle)?

Results:

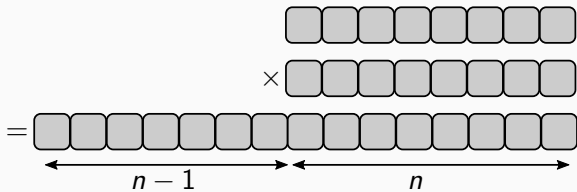
- Yes!
- Almost (for other products)

Space-preserving reductions between products

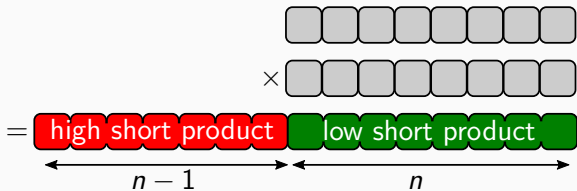
Self-reductions: In-place algorithms from out-of-place algorithms

Space-preserving reductions between products

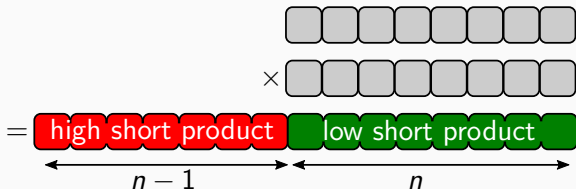
Short product



Short product



Short product



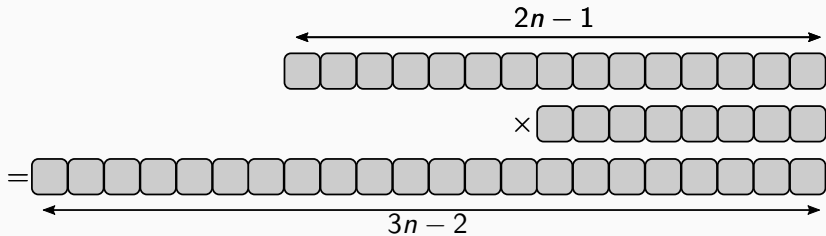
Formal definition

- $SP_{lo}(f, g) = f \cdot g \pmod{X^n}$
- $SP_{hi}(f, g) = f \cdot g \operatorname{div} X^n$

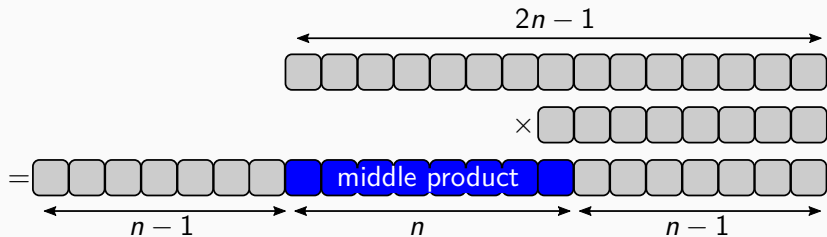
Example of use

Product of truncated power series

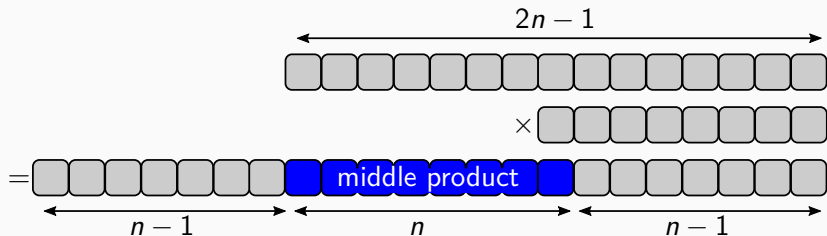
Middle product



Middle product



Middle product



Formal definition

$$\text{MP}(f, g) = (f \cdot g \operatorname{div} X^{n-1}) \bmod X^n$$

Example of use

Newton iteration (division, square root, ...)

Notations

- FP: *full* (standard) product
- $FP(n)$, $SP_{lo}(n)$, $SP_{hi}(n)$, $MP(n)$: complexity for size- n inputs

Relative difficulties of products

Notations

- FP: *full* (standard) product
- $FP(n)$, $SP_{lo}(n)$, $SP_{hi}(n)$, $MP(n)$: complexity for size- n inputs

Classical results (no space restriction)

- $SP_{lo}(n) \leq FP(n)$ and $SP_{hi}(n) \leq FP(n - 1)$
- $FP(n) \leq SP_{lo}(n) + SP_{hi}(n)$
- $MP(n) = FP(n) + n - 1$ (transposition principle)

Relative difficulties of products

Notations

- FP: *full* (standard) product
- $FP(n)$, $SP_{lo}(n)$, $SP_{hi}(n)$, $MP(n)$: complexity for size- n inputs

Classical results (no space restriction)

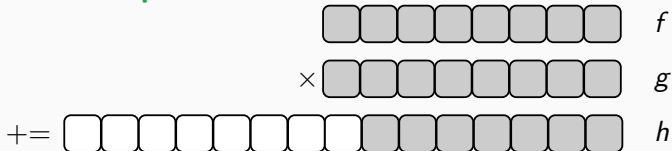
- $SP_{lo}(n) \leq FP(n)$ and $SP_{hi}(n) \leq FP(n - 1)$
- $FP(n) \leq SP_{lo}(n) + SP_{hi}(n)$
- $MP(n) = FP(n) + n - 1$ (transposition principle)

Space-restricted settings

- ✗ Reductions unusable
- ✓ Reduction still valid

Relative difficulties in space-restricted settings

Half-additive full product FP^+



Add $f \cdot g$ to h where $\deg(h) < \deg(f), \deg(g)$

Relative difficulties in space-restricted settings

Half-additive full product FP^+

f

g

h

Add $f \cdot g$ to h where $\deg(h) < \deg(f), \deg(g)$

Theorem (“ $SP \equiv FP^+$ ”)

The following reductions do not increase space:

- $FP^+(n) \leq SP_{lo}(n) + SP_{hi}(n) + n - 1$
- $SP_{lo}(n) \leq 3FP^+(\lceil n/2 \rceil)$

Summary of relative difficulties

Without space restrictions

$$SP \equiv FP^{(+)} \equiv MP$$

In space-restricted settings

$$FP \leq \begin{pmatrix} SP \\ \text{III} \\ FP^+ \end{pmatrix} \leq MP$$

Summary of relative difficulties

Without space restrictions

$$SP \equiv FP^{(+)} \equiv MP$$

In space-restricted settings

$$FP \leq \begin{pmatrix} SP \\ \text{III} \\ FP^+ \end{pmatrix} \leq MP$$

Converse directions

- $SP(n) \leq FP(n)$?
 - problem with output size : n v. $2n - 1$
 - without space restrictions: is $SP(n) \leq FP(n/2)$?
- $FP \equiv MP$?
 - partial result: $MP(n) \leq FP(n) \times \log(n)$
 - related: space-preserving transposition?

Kaltofen (2000)

Self-reductions: In-place algorithms from out-of-place algorithms

In-place algorithms parametrized by out-of-place algorithms

- Assumption: Out-of-place alg. uses cn extra space
- Constant c known to the in-place algorithm

In-place algorithms parametrized by out-of-place algorithms

- Assumption: Out-of-place alg. uses cn extra space
- Constant c known to the in-place algorithm

Goal

- Space complexity: $O(1)$
- Time complexity: closest to the out-of-place algorithm

In-place algorithms parametrized by out-of-place algorithms

- Assumption: Out-of-place alg. uses cn extra space
- Constant c known to the in-place algorithm

Goal

- Space complexity: $O(1)$
- Time complexity: closest to the out-of-place algorithm

Techniques

- Oracle calls in smaller size
- *Fake* padding of inputs (cf. strides in lin. alg.)
- **Tail** recursive call (avoid $O(\log n)$ stack)

In-place algorithms parametrized by out-of-place algorithms

- Assumption: Out-of-place alg. uses cn extra space
- Constant c known to the in-place algorithm

Goal

- Space complexity: $O(1)$
- Time complexity: closest to the out-of-place algorithm

Techniques

- Oracle calls in smaller size
- *Fake* padding of inputs (cf. strides in lin. alg.)
- **Tail** recursive call (avoid $O(\log n)$ stack)

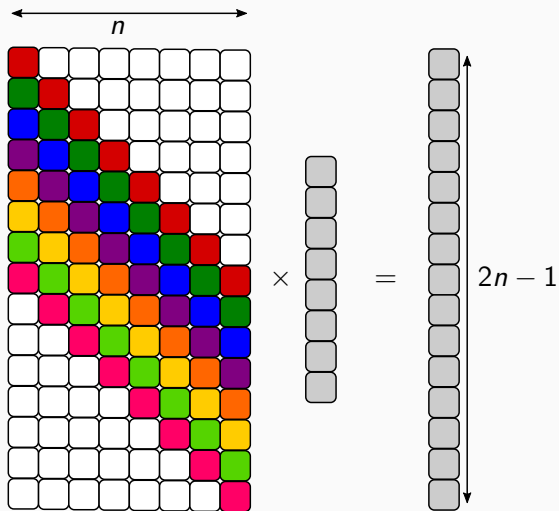
Similar approach for matrix mul.: Boyer, Dumas, Pernet, Zhou (2009)

Theorem

- In-place (half-additive) full product in time $(2c + 7)M(n)$
- In-place short product in time $(2c + 5)M(n)$
- In-place middle product in time $O(M(n) \log n)$
(or $O(M(n))$ if $M(n) = \Omega(n^{1+\delta})$)

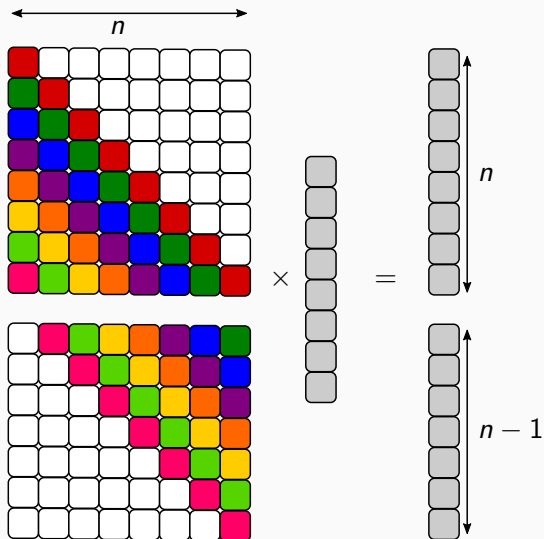
Multiplications as linear maps

Full product:



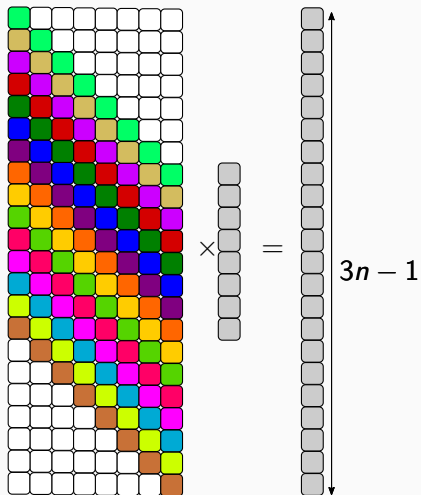
Multiplications as linear maps

Short products:



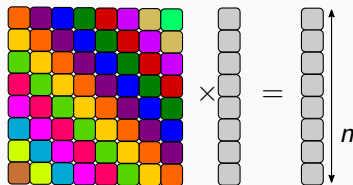
Multiplications as linear maps

Middle product:



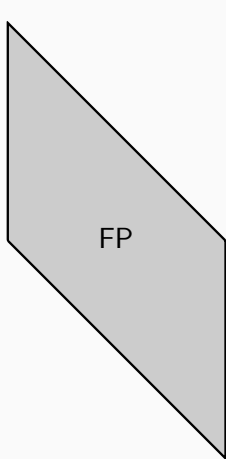
Multiplications as linear maps

Middle product:

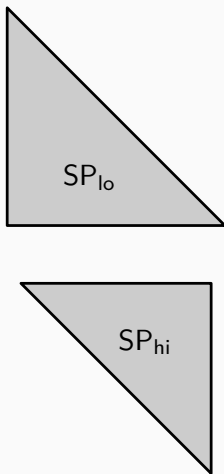


Multiplications as linear maps

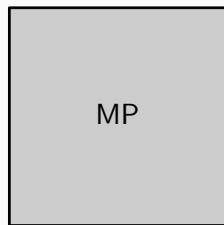
Graphical representation:



Full product

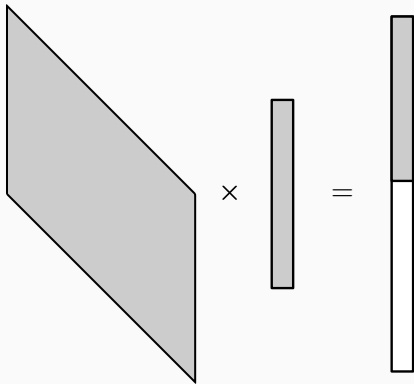


Short products

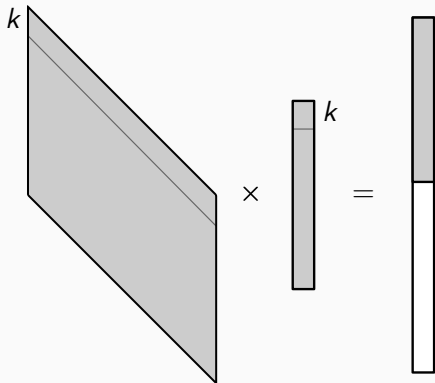


Middle product 14/19

In-place FP⁺ from out-of-place FP

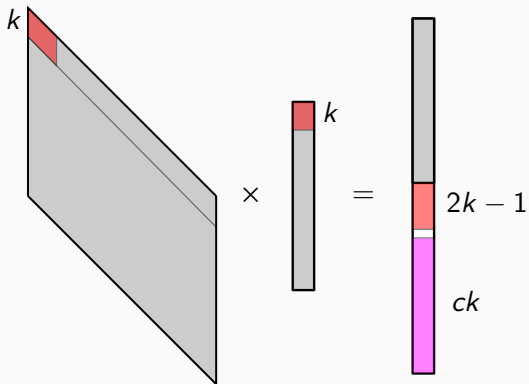


In-place FP⁺ from out-of-place FP



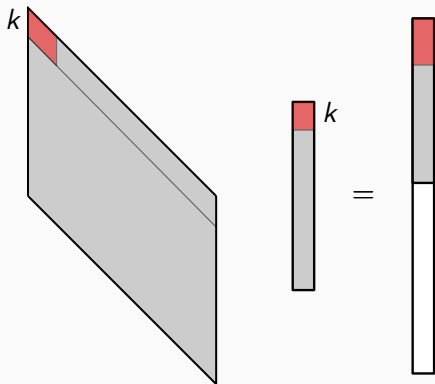
$$(f_0 + X^k \hat{f}) \cdot (g_0 + X^k \hat{g}) = f_0 g_0 + X^k (f_0 \hat{g} + \hat{f} g_0) + X^{2k} \hat{f} \hat{g}$$

In-place FP^+ from out-of-place FP



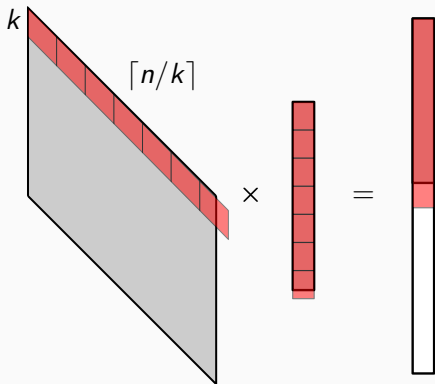
$$(f_0 + X^k \hat{f}) \cdot (g_0 + X^k \hat{g}) = f_0 g_0 + X^k (f_0 \hat{g} + \hat{f} g_0) + X^{2k} \hat{f} \hat{g}$$

In-place FP⁺ from out-of-place FP



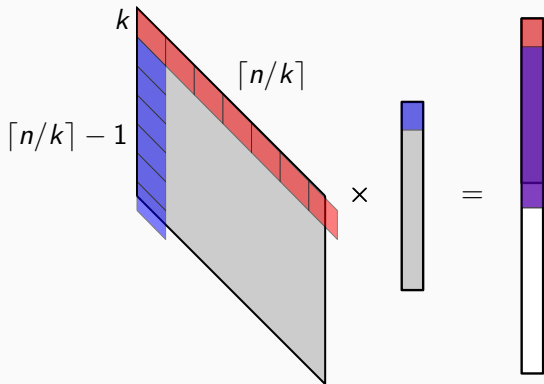
$$(f_0 + X^k \hat{f}) \cdot (g_0 + X^k \hat{g}) = f_0 g_0 + X^k (f_0 \hat{g} + \hat{f} g_0) + X^{2k} \hat{f} \hat{g}$$

In-place FP⁺ from out-of-place FP



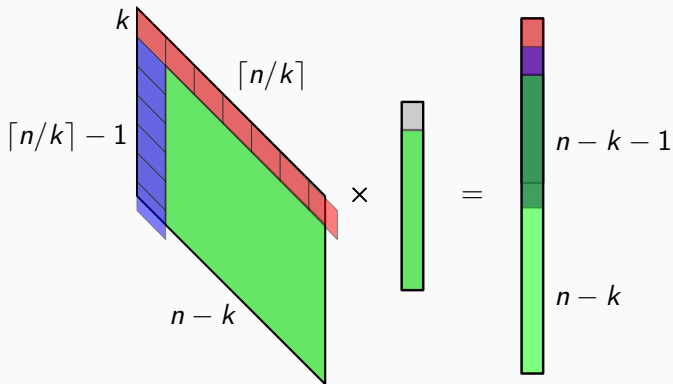
$$(f_0 + X^k \hat{f}) \cdot (g_0 + X^k \hat{g}) = f_0 g_0 + X^k (f_0 \hat{g} + \hat{f} g_0) + X^{2k} \hat{f} \hat{g}$$

In-place FP⁺ from out-of-place FP



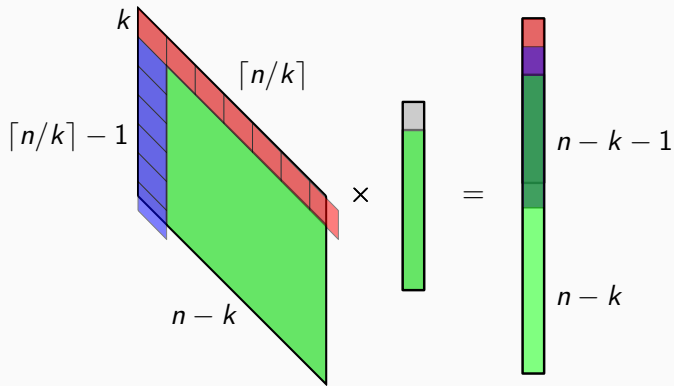
$$(f_0 + X^k \hat{f}) \cdot (g_0 + X^k \hat{g}) = f_0 g_0 + X^k (f_0 \hat{g} + \hat{f} g_0) + X^{2k} \hat{f} \hat{g}$$

In-place FP⁺ from out-of-place FP

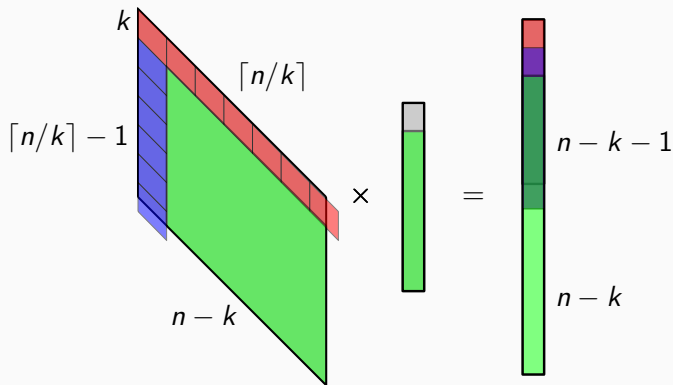


$$(f_0 + X^k \hat{f}) \cdot (g_0 + X^k \hat{g}) = f_0 g_0 + X^k (f_0 \hat{g} + \hat{f} g_0) + X^{2k} \hat{f} \hat{g}$$

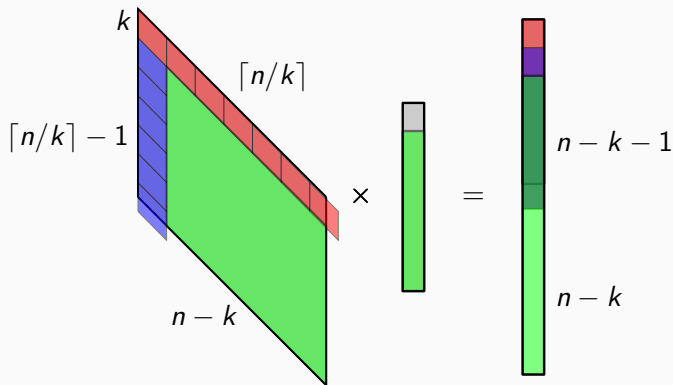
Analysis



Analysis



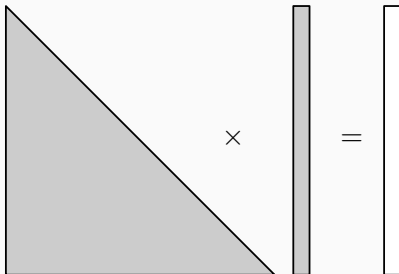
- $ck + 2k - 1 \leq n - k \implies k \leq \frac{n+1}{c+3}$
- $T(n) = (2\lceil n/k \rceil - 1)(M(k) + 2k - 1) + T(n - k)$



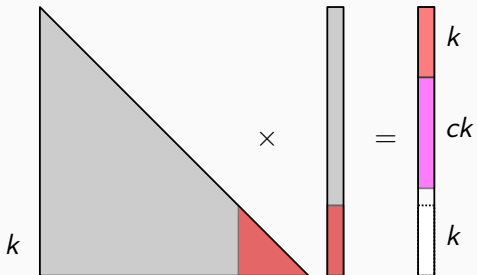
- $ck + 2k - 1 \leq n - k \implies k \leq \frac{n+1}{c+3}$
- $T(n) = (2\lceil n/k \rceil - 1)(M(k) + 2k - 1) + T(n - k)$

$$T(n) \leq (2c + 7)M(n) + o(M(n))$$

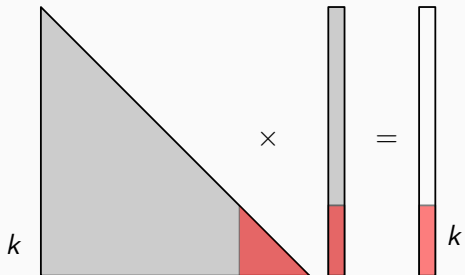
In-place short product



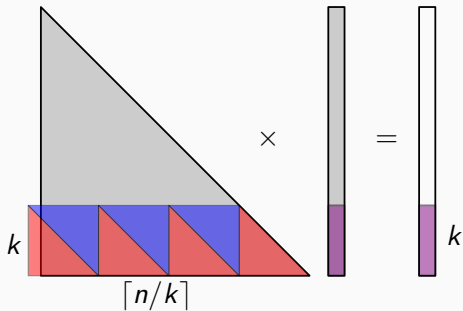
In-place short product



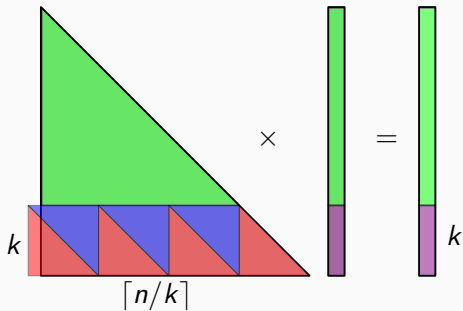
In-place short product



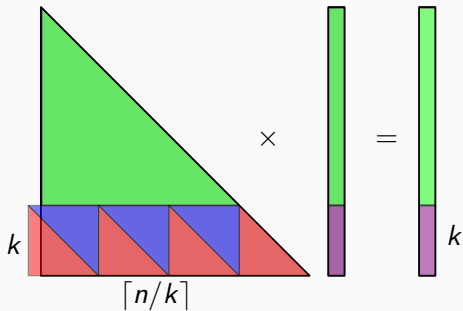
In-place short product



In-place short product

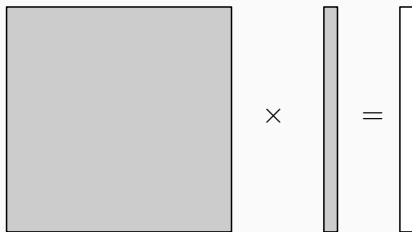


In-place short product

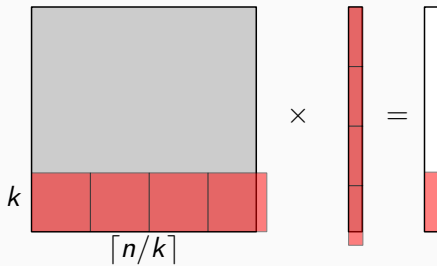


$$T(n) \leq (2c + 5)M(n) + o(M(n))$$

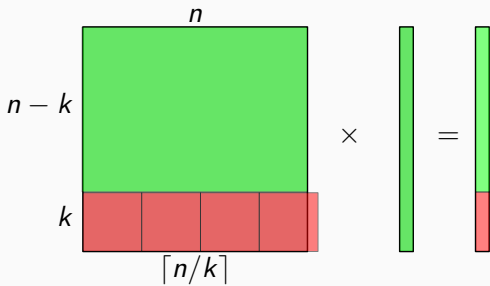
In-place middle product



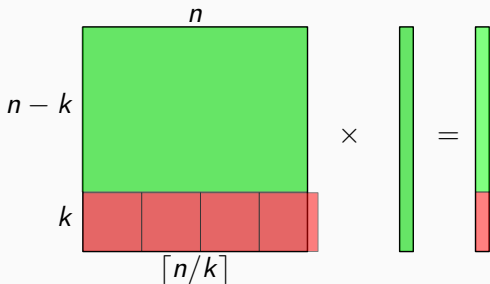
In-place middle product



In-place middle product

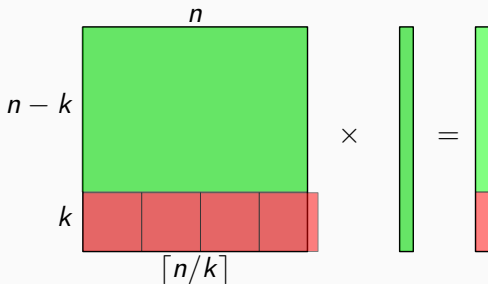


In-place middle product



- Recursive call:
 - the size of f decreases...
 - but **not the size of g !**
- $T(n, m) = \lceil n/k \rceil M(k) + (\lceil n/k \rceil - 1)k + T(n, m - k)$

In-place middle product



- Recursive call:
 - the size of f decreases...
 - but **not the size of g !**
- $T(n, m) = \lceil n/k \rceil M(k) + (\lceil n/k \rceil - 1)k + T(n, m - k)$

$$T(n, n) \leq \begin{cases} M(n) \log_{\frac{c+2}{c+1}}(n) + o(M(n) \log n) & \text{if } M(n) \text{ is quasi-linear} \\ O(M(n)) & \text{otherwise} \end{cases}$$

Conclusion

- Space-preserving reductions between polynomial products
- Self-reductions to obtain in-place algorithms

Conclusion

- Space-preserving reductions between polynomial products
- Self-reductions to obtain in-place algorithms

Comparisons

- Better use specialized in-place algorithms. . .
- . . . when they exist!

Conclusion

- Space-preserving reductions between polynomial products
- Self-reductions to obtain in-place algorithms

Comparisons

- Better use specialized in-place algorithms. . .
- . . . when they exist!

Main open problems

- Other polynomial operations → **work in progress!**
- Extra $\log(n)$ for the middle product
 - Remove it or prove a lower bound
 - General result on the transposition principle
- In-place integer arithmetic?

Conclusion

- Space-preserving reductions between polynomial products
- Self-reductions to obtain in-place algorithms

Comparisons

- Better use specialized in-place algorithms. . .
- . . . when they exist!

Main open problems

- Other polynomial operations → **work in progress!**
- Extra $\log(n)$ for the middle product
 - Remove it or prove a lower bound
 - General result on the transposition principle
- In-place integer arithmetic?

Thank you!