The real au-conjecture & lower bounds for the permanent

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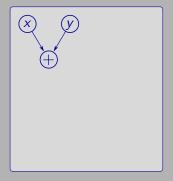
Rencontres CoA - 22 novembre 2012

$$f(x, y, z) = x^{4} + 4x^{3}y + 6x^{2}y^{2} + 4xy^{3} + x^{2}z + 2xyz$$
$$+ y^{2}z + x^{2} + y^{4} + 2xy + y^{2} + z^{2} + 2z + 1$$

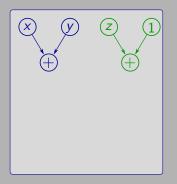
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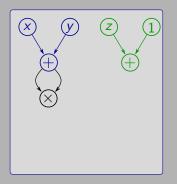
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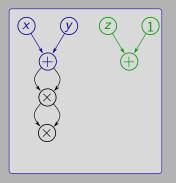
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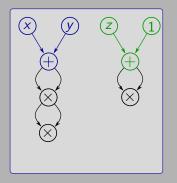
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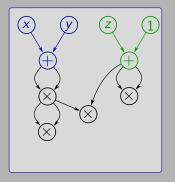
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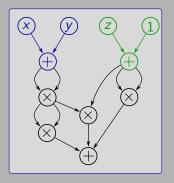
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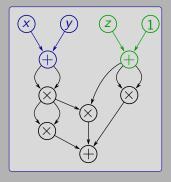
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Complexity of a polynomial

 $\tau(f) =$ size of its smallest circuit representation

Conjecture (Shub & Smale, 1995)

The number of integer roots of any $f \in \mathbb{Z}[X]$ is $\leq \text{poly}(\tau(f))$.

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$$\mathsf{PER}_n(x_{11},\ldots,x_{nn}) = \mathsf{per}\begin{pmatrix} x_{11} & \cdots & x_{1n} \\ \vdots & & \vdots \\ x_{n1} & \cdots & x_{nn} \end{pmatrix} = \sum_{\sigma \in \mathfrak{S}_n} \prod_{i=1}^n x_{i\sigma(i)}$$

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$$\implies \tau(\mathsf{Per}_n)$$
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Theorem (Cheng, 2003)

Extended τ -conjecture \implies Merel torsion theorem, ...

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False for real roots (Shub-Smale 95, Borodin-Cook 76)

 $T_n = n$ -th Chebyshev polynomial

- $\blacktriangleright \tau(T_n) = \mathcal{O}(\log n)$
- n real roots

Real τ -conjecture (Koiran, 2011)

Let $f = \sum_{i=1}^{k} \prod_{j=1}^{m} f_{ij}$ where the f_{ij} 's are *t*-sparse polynomials.

Then f has $\leq poly(k, m, t)$ real roots.

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 \rightarrow Adelic τ -conjecture [Phillipson & Rojas, 2012]

- ▷ Case k = 1: Follows from Descartes' rule.
- ▷ Case k = 2: Open.
- > Toy question: Number of real roots of fg + 1?

Theorem

If $f \in \mathbb{R}[X]$ has t monomials, then it has $\leq (t-1)$ positive real roots.

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- $f = \sum_{i=1}^{k} \prod_{j=1}^{m} f_{ij}$: $\leq 2kt^m 1$ real roots

Real au-conjecture \implies Permanent is hard

$$\mathsf{SPS}(k,m,t) = \left\{ f = \sum_{i=1}^{k} \prod_{j=1}^{m} f_{ij} : f_{ij}$$
's are *t*-sparse
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Incorrect proof. Assume the permanent is easy.

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$$\prod_{i=1}^{2^n} (X - i)$$
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- Reduction to depth 4 \rightsquigarrow SPS polynomial of size $2^{o(n)}$

[Agrawal-Vinay, 2008]

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E Contradiction with real au-conjecture

+ other details...

Theorem (Koiran, 2011)

Circuit of size t and degree d

 \rightsquigarrow **Depth-4** circuit of size $t^{\mathcal{O}(\sqrt{d} \log d)}$

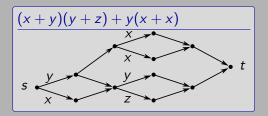
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Proof idea.

Construct an equivalent Arithmetic Branching Program \rightsquigarrow size $t^{\log 2d} + 1$, depth $\delta = 3d - 1$ [Malod-Portier, 2008]



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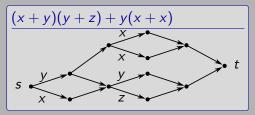
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Consequence. Replace poly(k, m, t) by $2^{polylog(k, m, t)}$.

$$SPS(k, m, t, A) = \left\{ \sum_{i=1}^{k} \prod_{j=1}^{m} f_{j}^{\alpha_{ij}} : f_{j}' \text{s are } t \text{-sparse, } \alpha_{ij} \leq A \right\}$$

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Theorem (G.-Koiran-Portier-Strozecki, 2011)

If $f \in SPS(k, m, t, A)$, its number of real roots is at most

$$C \cdot \left[e \cdot \left(1 + \frac{t^m}{2^{k-1}-1}\right)\right]^{2^{k-1}-1}$$
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- Independent of A.
- ▶ If *k* and *m* are fixed, this is polynomial in *t*.

Case k = 2

Proposition

The polynomial

$$f=\prod_{j=1}^m f_j^{lpha_j}+\prod_{j=1}^m f_j^{eta_j}$$

has at most $2mt^m + 4m(t-1)$ real roots.

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Proof sketch. Let
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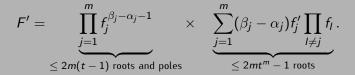
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Proof sketch. Let $F = f / \prod_j f_j^{\alpha_j} = 1 + \prod_j f_j^{\beta_j - \alpha_j}$. Then



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11 / 11

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Let f, g be t-sparse polynomials. \rightsquigarrow What is the maximum number real of roots of fg + 1?

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Thank you for your attention!