

Symmetric Determinantal Representations of Polynomials

Bruno Grenet LIP – ÉNS Lyon

SIAM Conference on Applied Algebraic Geometry Raleigh, NC — October 6, 2011



The problem

$$(x+y)+(y\times z)=\det \begin{bmatrix} 0&x&y&0&0&0&0&0&0&0&-\frac{1}{2}\\ x&0&0&-1&0&0&0&0&0&0&0&0\\ y&0&0&0&-1&0&0&0&0&0&0\\ 0&-1&0&0&0&1&0&0&0&0&0\\ 0&0&-1&0&0&1&0&z&0&0&0\\ 0&0&0&1&1&0&-1&0&0&0&0\\ 0&0&0&0&0&-1&0&0&0&1&0\\ 0&0&0&0&0&z&0&0&0&-1&0&0\\ 0&0&0&0&0&0&0&0&-1&0&1&0\\ 0&0&0&0&0&0&0&0&0&0&-1&0&1\\ -\frac{1}{2}&0&0&0&0&0&0&0&0&0&-1&0&0 \end{bmatrix}$$



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Formal polynomial

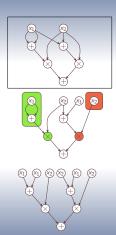


The problem

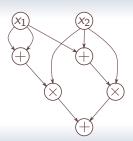
- Formal polynomial
- Smallest possible dimension of the matrix



Representations of polynomials



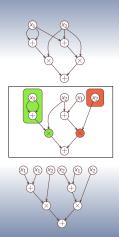
Arithmetic circuit:



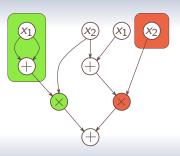
Size
$$e = 5$$
 Inputs $i = 2$



Representations of polynomials



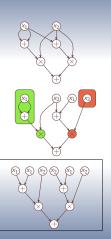
Weakly-skew circuit:



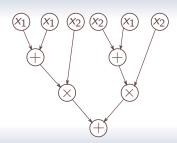
Size
$$e = 5$$
 Inputs $i = 4$



Representations of polynomials



Formula:



Size
$$e = 5$$
 Inputs $i = 6$



Motivation



L. G. Valiant, Completeness classes in algebra, STOC'79

Theorem (Universality of determinant and permanent)

Let P be a polynomial given by a formula of size e. There exist matrices M and N of size $(e+2) \times (e+2)$ such that

$$P = \det M = \operatorname{per} N$$
.



Matrix theoretic constructions:



- Matrix theoretic constructions:
 - J. von zur Gathen [1]

2e + 2

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Extension to weakly-skew circuits

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•	S. Toda [3]	2e + 1
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- [4] Characterizing Valiant's algebraic complexity classes, J. Compl., 2008.



• Extension to symmetric matrices (char. \neq 2)



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- Impossibility result in char. 2



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- Partial permanent is (probably) not VNP-complete in char. 2



•	Extension to symmetric matrices (char. \neq 2)	[1]	
•	Impossibility result in char. 2	[2]	

• Partial permanent is (probably) not VNP-complete in char. 2 [1]

[1] With E. L. Kaltofen, P. Koiran, N. Portier. Symmetric Determinantal Representation of Weakly-Skew Circuits, Proc. 28th STACS, 2011.

[2] With T. Monteil, S. Thomassé. Symmetric Determinantal Representations in Characteristic 2, in preparation, 2011.



• Linear Matrix Expression (LME): for A_i symmetric in $\mathbb{R}^{t \times t}$

$$A_0 + x_1 A_1 + \cdots + x_n A_n$$



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 \bullet Lax conjecture: express a real zero polynomial f as

$$f = \det A$$

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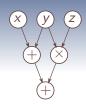
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More on this: Tim Netzer's talk (Friday 9:30am @Riddick 339)

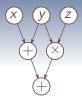


$$(x+y)+(y\times z)$$



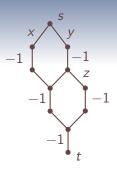
Circuit: Weakly-skew circuit or formula

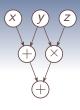




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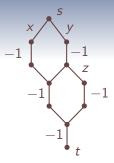
Arithmetic Branching Program

Circuit



ABP

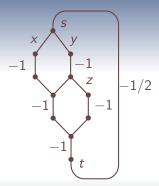




 $Circuit \implies ABP$

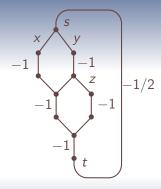
Extension to symmetric matrices

Overview



Circuit \implies ABP \implies Graph



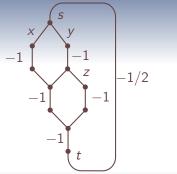


$$\det \begin{pmatrix} 0 & x & y & 0 & 0 & 0 & 0 & 0 & 0 & 0 & -\frac{1}{2} \\ x & 0 & 0 & -1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ y & 0 & 0 & 0 & -1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & -1 & 0 & 0 & 1 & 0 & z & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 & -1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 & -1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & -1 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & -1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 1 & 0 \\ -\frac{1}{2} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & -1 & 0 \end{pmatrix}$$

$$= (x + y) + (y \times z)$$

Circuit
$$\implies$$
 ABP \implies Graph \implies Matrix





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$$= (x + y) + (y \times z)$$

Characteristic $\neq 2$

Circuit

 \Longrightarrow

ABP

 \Longrightarrow

Graph

==;

Matrix



Symmetric matrices



Symmetric matrices

 \implies undirected graphs







Symmetric matrices

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- ⇒ "undirected ABPs"





Symmetric matrices

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Corollary

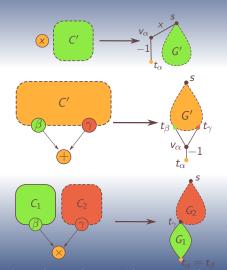
Let M be an $n \times n$ matrix. Then there exists a symmetric matrix M' of size $O(n^3)$ s.t. det $M = \det M'$.





Weakly-Skew Circuit \implies ABP





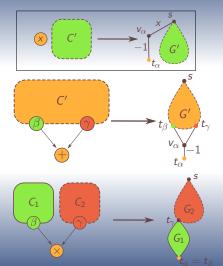




Weakly-Skew Circuit \implies ABP





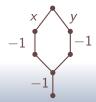


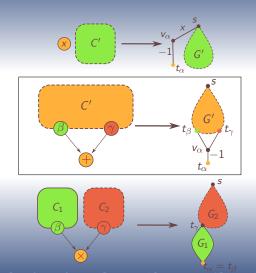




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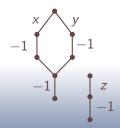


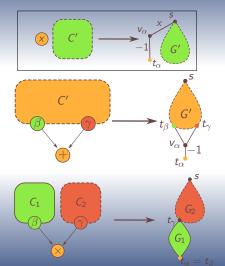




Weakly-Skew Circuit \implies ABP





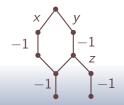


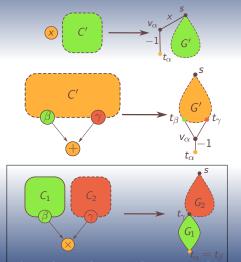




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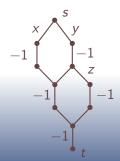


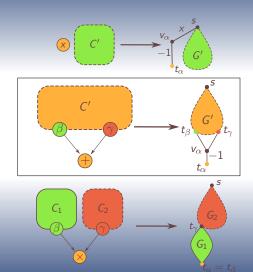




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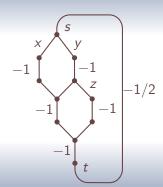




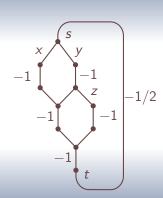


$\overline{\mathsf{ABP}} \Longrightarrow \mathsf{Graph}$

• Add $s \xleftarrow{(1/2)\cdot (-1)^{\frac{|G|-1}{2}}} t$: new graph G'.



$\mathsf{ABP} \implies \mathsf{Graph}$

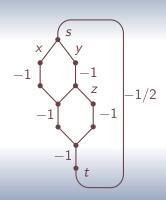


- Add $s \stackrel{(1/2)\cdot (-1)^{\frac{|\mathcal{G}|-1}{2}}}{\longrightarrow} t$: new graph \mathcal{G}' .
- Cycle covers of G'

$$\iff$$
 $s \rightarrow t$ -paths in G



$ABP \implies Graph$



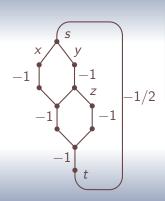
- Add $s \stackrel{(1/2)\cdot (-1)^{\frac{|G|-1}{2}}}{\longrightarrow} t$: new graph G'.
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$$\iff$$
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$$\iff t \to s$$
-paths in G .



Graph ⇒ Matrix



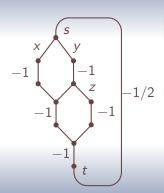
Determinant

$$\mathfrak{S}_n = \text{Permutation group of } \{1, \ldots, n\}$$

$$\det A = \sum_{\sigma \in \mathfrak{S}_n} (-1)^{\operatorname{sgn}(\sigma)} \prod_{i=1}^n A_{i,\sigma(i)}$$



Graph ⇒ Matrix



Determinant

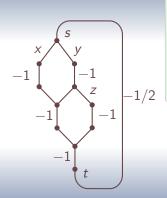
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Graph ⇒ Matrix



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- permutation in $\mathfrak{S}_n \equiv$ cycle cover in G'
- Up to signs, det A = sum of weights of cycle covers in G'



 $P(x_1,\ldots,x_n)$

Weakly-Skew Circuit



$$P(x_1,...,x_n)$$
 Weakly-Skew Circuit
$$=\sum_{s-t \text{ path } P} (-1)^{\frac{|P|-1}{2}} w(P)$$
 Arithmetic Branching Program

Weakly-Skew Circuit



$$P(x_1, ..., x_n)$$
 Weakly-Skew Circuit
$$= \sum_{s-t \text{ path } P} (-1)^{\frac{|P|-1}{2}} w(P) \qquad \text{Arithmetic Branching Program}$$

$$= \sum_{\text{cycle cover } C} (-1)^{\text{sgn}(C)} w(C) \qquad \text{Graph } G'$$



$$P(x_1, ..., x_n)$$
 Weakly-Skew Circuit

$$= \sum_{s-t \text{ path } P} (-1)^{\frac{|P|-1}{2}} w(P)$$
 Arithmetic Branching Program

$$= \sum_{\text{cycle cover } C} (-1)^{\text{sgn}(C)} w(C)$$
 Graph G'

$$= \det \text{Adj}(G')$$
 Symmetric Matrix



$$P(x_1, ..., x_n)$$
 Weakly-Skew Circuit

 $= \sum_{s-t \text{ path } P} (-1)^{\frac{|P|-1}{2}} w(P)$ Arithmetic Branching Program

 $= \sum_{\text{cycle cover } C} (-1)^{\text{sgn}(C)} w(C)$ Graph G'
 $= \det \text{Adj}(G')$ Symmetric Matrix

	Formula	Weakly-skew circuit
Non symmetric	e+1	(e + i) + 1
Symmetric	2e + 1	2(e+i)+1



$$xy + yz + xz$$



$$xy + yz + xz = \det \begin{vmatrix} 0 & 1 & 1 & 1 \\ 1 & x & 0 & 0 \\ 1 & 0 & y & 0 \\ 1 & 0 & 0 & z \end{vmatrix}$$

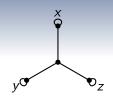


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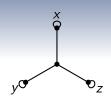
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$$xz^2 + y^3 + y^2 + z^2$$

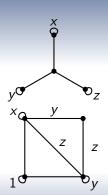


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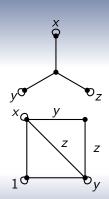


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What about xy + z?



Impossibility in char. 2

Representable polynomials

A polynomial is said representable if it has a SDR.



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Lemma

P and Q are representable $\implies P \times Q$ is representable.



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For all P, P^2 is representable.



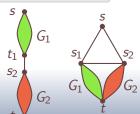
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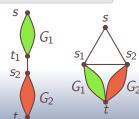
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Lemma

For all P, P^2 is representable.

- $\det(G \setminus \{s, t\}) = 1$
- $\det(G \setminus \{s\}) = \det(G \setminus \{t\}) = 0$





A class of representable polynomials

Theorem

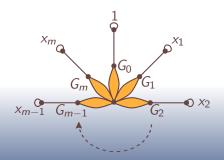
$$L(x_1,...,x_m) = P_0^2 + x_1 P_1^2 + \cdots + x_m P_m^2$$
 is representable.



A class of representable polynomials

Theorem

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Obstructions to representability

Theorem

If P is representable, then

$$P \equiv L_1 \times \cdots \times L_k \mod \langle x_1^2 + 1, \dots, x_m^2 + 1 \rangle$$

where the L_i 's are linear.



Obstructions to representability

Theorem

If P is representable, then

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where the L_i 's are linear.



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Theorem

If P is representable, then

$$P \equiv L_1 \times \cdots \times L_k \mod \langle x_1^2 + \ell_1, \dots, x_m^2 + \ell_m \rangle$$

where the L_i 's are linear.

Theorem

If P is multilinear, this is an equivalence.



Proof idea

• Modulo $\langle x_1^2 + \ell_1, \dots, x_m^2 + \ell_m \rangle$: no variable outside the diagonal

$$xz + y^2 = \det \begin{pmatrix} x & y \\ y & z \end{pmatrix}$$



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Impossibility in char. 2

An algorithm

Wait! Is xy + z representable?

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IsRepresentable(P):

P multilinear

lacktriangle Compute P' such that



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- **2** $P'_0 \leftarrow \text{QUOTIENT}(P', x)$ where x is its largest variable



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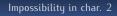
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- - Then IsRepresentable (P'_0)
 - Else RETURN FALSE



• Characterization of multilinear representable polynomials





- Characterization of multilinear representable polynomials
- Polynomial-time algorithm for multilinear polynomials :



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Problem [Bürgisser 00]

Is the partial permanent VNP-complete in characteristic 2?



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Is the partial permanent VNP-complete in characteristic 2?

$$\mathfrak{P}_n = \text{Injective Partial Maps from } \{1, \ldots, n\} \text{ to itself}$$

$$\operatorname{\mathsf{per}}^* M = \sum_{\pi \in \mathfrak{P}_n} \prod_{i \in \operatorname{\mathsf{def}}(\pi)} M_{i,\pi(i)}$$



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- ullet VP, VNP, VNP-complete \equiv P, NP, NP-complete for polynomials



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No unless the Polynomial Hierarchy collapses.



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Theorem (Malod'11, Valiant'02 via Mengel'11)

$$\mathsf{per}^* \in \mathsf{VP}$$





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Thank you!

- **1** Introduction
- 2 Extension to symmetric matrices
- 3 Impossibility in char. 2
- **4** Partial Permanent
- **5** Conclusion