Représentations des polynômes, algorithmes et bornes inférieures

Bruno Grenet

sous la direction de Pascal Koiran et Natacha Portier

Jeudi 29 novembre 2012

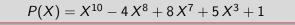
Representations of polynomials, algorithms and lower bounds

Bruno Grenet

supervised by Pascal Koiran and Natacha Portier

Thursday, November 29, 2012

Representation of Univariate Polynomials







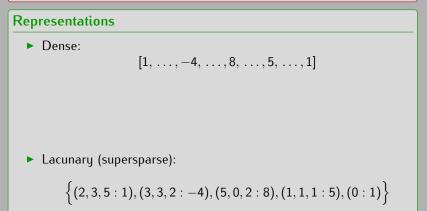
$$\left[1,0,-4,8,0,0,0,5,0,0,1\right]$$



$$ig\{(10:1),(8:-4),(7:8),(3:5),(0:1)ig\}$$

Representation of Multivariate Polynomials

$$P(X, Y, Z) = X^2 Y^3 Z^5 - 4 X^3 Y^3 Z^2 + 8 X^5 Z^2 + 5 X Y Z + 1$$



Representation of Multivariate Polynomials

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Representations

Dense:

$$[1,\ldots,-4,\ldots,8,\ldots,5,\ldots,1]$$

Sparse:

 $\Big\{(||,|||,|||||:1),(|||,|||,||:-4),(|||||,,||:8),(|,|,|:5),(,,:1)\Big\}$

Lacunary (supersparse):

$$\left\{(2,3,5:1),(3,3,2:-4),(5,0,2:8),(1,1,1:5),(0:1)
ight\}$$

$$Q(X, Y, Z) = X^{4} + 4X^{3}Y + 6X^{2}Y^{2} + 4XY^{3} + X^{2}Z + 2XYZ + Y^{2}Z + X^{2} + Y^{4} + 2XY + Y^{2} + Z^{2} + 2Z + 1$$

$$Q(X, Y, Z) = (X + Y)^4 + (Z + 1)^2 + (X + Y)^2(Z + 1)$$

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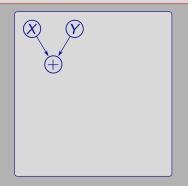
= $(X + Y)^{2}((X + Y)^{2} + (Z + 1)) + (Z + 1)^{2}$

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= $(X + Y)^{4} + ((Z + 1) + (X + Y)^{2})(Z + 1)$

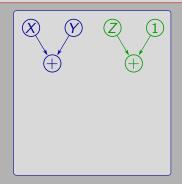
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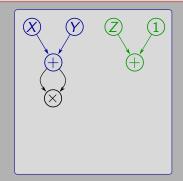
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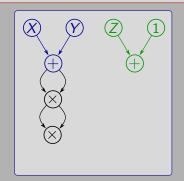
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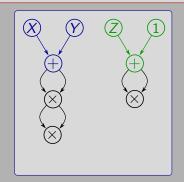
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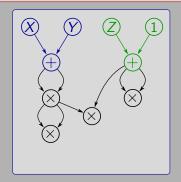
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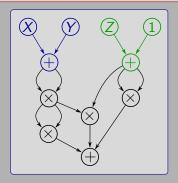
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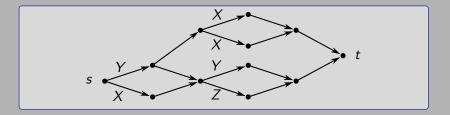
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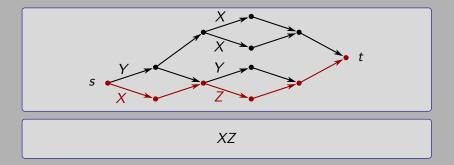


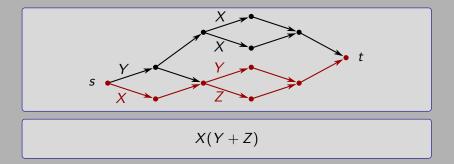
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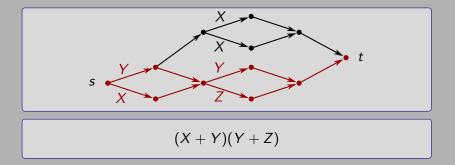
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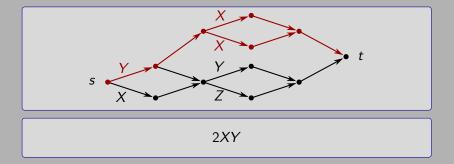


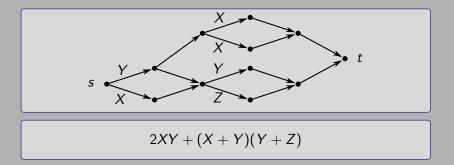












- Circuits
- Branching programs
- Determinant of matrices

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Links between representations

- Circuits
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- Complexity of problems concerning polynomials
 - Existence of roots

dense, sparse

Links between representations

- Circuits
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Complexity of problems concerning polynomials

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- Factorization

dense, sparse lacunary

Links between representations

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- Smallest representations of some polynomials
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Complexity of problems concerning polynomials

- Existence of roots dense
 - Factorization
 - Polynomial Identity Testing

dense, sparse lacunary circuit

Outline

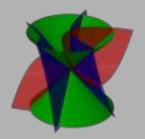
1. Resolution of polynomial systems

2. Determinantal Representations of Polynomials

3. Factorization of lacunary polynomials

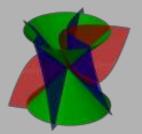
1. Resolution of polynomial systems

Is there a (nonzero) solution?



 $X^{2} + Y^{2} - Z^{2} = 0$ $XZ + 3XY + YZ + Y^{2} = 0$ $XZ - Y^{2} = 0$

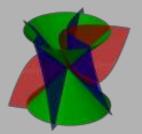
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Input: System of polynomials $f = (f_1, f_2, f_3)$, $f_j \in \mathbb{Z}[X, Y, Z]$, homogeneous Question: Is there a point $a = (a_1, a_2, a_3) \in \mathbb{C}^3$, nonzero, s.t. $f_1(a) = f_2(a) = f_3(a) = 0$?

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Input: $f_1, \ldots, f_s \in \mathbb{K}[X_0, \ldots, X_n]$, homogeneous Question: Is there a nonzero $a \in \overline{\mathbb{K}}^{n+1}$ s.t. f(a) = 0?

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 - → Trivial? Easy? Hard?

Definitions

$\mathsf{PolSys}(\mathbb{K})$

Input:
$$f_1, \ldots, f_s \in \mathbb{K}[X_1, \ldots, X_n]$$

Question: Is there $a \in \overline{\mathbb{K}}^n$ s.t. $f(a) = 0$?

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$\mathsf{Resultant}(\mathbb{K})$

Proposition (Koiran'96)

Under the Generalized Riemann Hypothesis, $PolSys(\mathbb{Z}) \in AM$.

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Class Arthur-Merlin

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Corollary

Under GRH, HomPolSys(\mathbb{Z}) and Resultant(\mathbb{Z}) belong to AM.

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Positive characteristics

If p is prime, $(Hom)PolSys(\mathbb{F}_p)$ & $Resultant(\mathbb{F}_p)$ are in PSPACE.

Notation: $\mathbb{F}_0=\mathbb{Q}$

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Proposition (Folklore)

For p = 0 or prime, $PolSys(\mathbb{F}_p)$ & $HomPolSys(\mathbb{F}_p)$ are NP-hard.

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	PolSys	HomPolSys	RESULTANT
\mathbb{Z}	NP-hard	NP-hard	NP-hard
\mathbb{F}_{p}	NP-hard	NP-hard	Open

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\mathbb{Z}	NP-hard	NP-hard	NP-hard
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▶ What happens for **RESULTANT**(\mathbb{F}_p), p > 0?

• HomPolSys(\mathbb{F}_p) is NP-hard:

homogeneous polynomials \geq # variables

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Two strategies:

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 - Reduce the number of polynomials

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Theorem (G.-Koiran-Portier'10-12)

Let p be a prime number.

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Theorem (G.-Koiran-Portier'10-12)

Let *p* be a prime number.

• RESULTANT (\mathbb{F}_p) is NP-hard for sparse polynomials.

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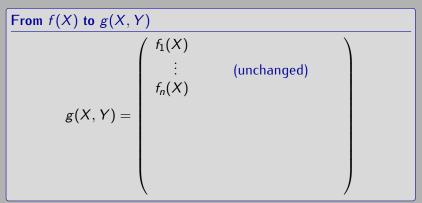
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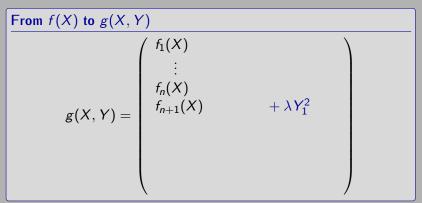
- RESULTANT (\mathbb{F}_p) is NP-hard for sparse polynomials.
- RESULTANT(\mathbb{F}_q) is NP-hard for **dense** polynomials for some $q = p^s$.

f(X): s degree-2 homogeneous polynomials in $\mathbb{F}_p[X_0, \dots, X_n]$

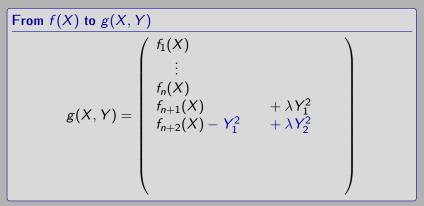
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From f(X) to g(X, Y)

$$g(X, Y) = \begin{pmatrix} f_1(X) \\ \vdots \\ f_n(X) \\ f_{n+1}(X) &+ \lambda Y_1^2 \\ f_{n+2}(X) - Y_1^2 &+ \lambda Y_2^2 \\ \vdots \\ f_{s-1}(X) - Y_{s-n-2}^2 + \lambda Y_{s-n-1}^2 \end{pmatrix}$$

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 $f(a) = 0 \implies g(a,0) = 0$

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Find λ such that $(g(a, b) = 0 \implies b = 0)$

Proof idea

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Find λ such that $(g(a, b) = 0 \implies b = 0 \implies f(a) = 0)$

Conclusion

NP-hardness results for square homogeneous systems of polynomials over finite fields

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- Result on the evaluation of the resultant polynomial

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Main open problem

- Improve the PSPACE upper bound in positive characteristics...
- ... or the NP lower bound.

2. Determinantal Representations of Polynomials

Determinant

Definition

$$\mathfrak{S}_n$$
 = permutations of $\{1, \ldots, n\}$

$$\det A = \sum_{\sigma \in \mathfrak{S}_n} (-1)^{\epsilon(\sigma)} \prod_{i=1}^n A_{i,\sigma(i)}$$

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$$\det \begin{pmatrix} a & b & c \\ d & e & f \\ g & h & i \end{pmatrix} = aei + bfg + cdh - afh - bdi - ceg$$

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$$2XY + (X+Y)(Y+Z) = \det \begin{pmatrix} 0 & 2 & 0 & 0 & Y & X & 0 & 0 \\ 0 & -1 & X & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & -1 & Y & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 & Y & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & -1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & -1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & -1 & 1 \\ -1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

2X

Complexity of the determinant

- Complexity of the determinant
- Determinant vs. Permanent: Algebraic "P = NP?"

2XY-

0 X

 $0 \ 0 \ \frac{1}{2}$

0

0

Determinantal representations

0 2

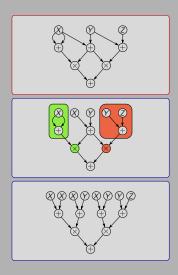
0 0

0 0

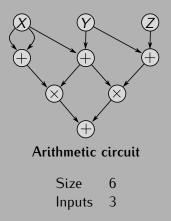
0 Y

- Complexity of the determinant
- Determinant vs. Permanent: Algebraic "P = NP?"
- Links between circuits, ABPs and the determinant

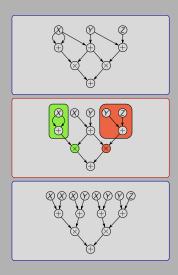
Circuits



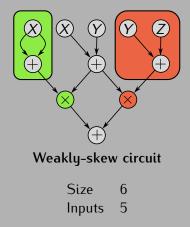
2X(X+Y) + (X+Y)(Y+Z)



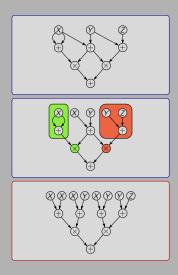
Circuits



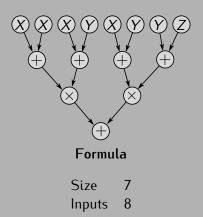
2X(X+Y) + (X+Y)(Y+Z)



Circuits



2X(X + Y) + (X + Y)(Y + Z)



Results

Proposition (Valiant'79)

Formula of size $s \rightarrow$ Determinant of a matrix of dimension (s+2)

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Results

Proposition (Liu-Regan'06, G.-Kaltofen-Koiran-Portier'11)

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Weakly-skew circuit of size *s* with *i* inputs

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From Formulas to Branching Programs



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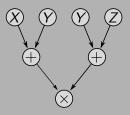






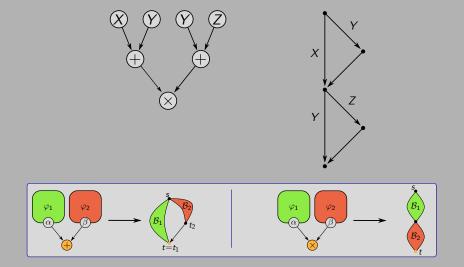


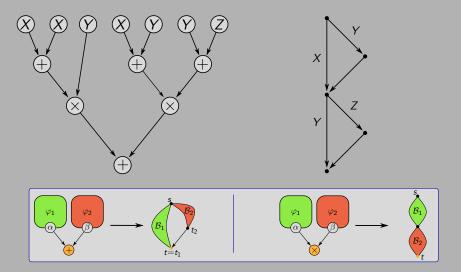


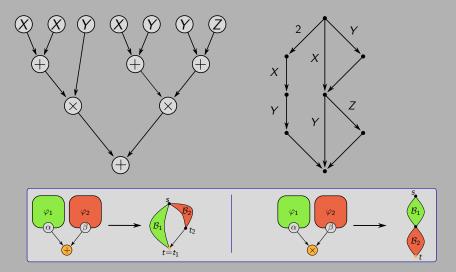


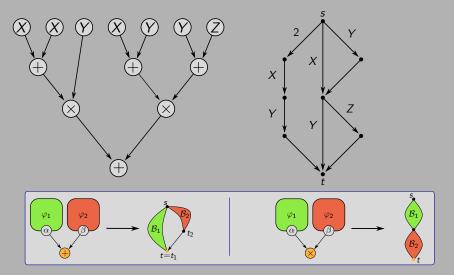


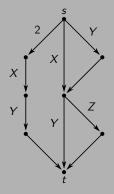




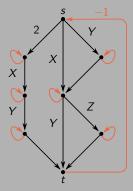


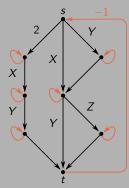






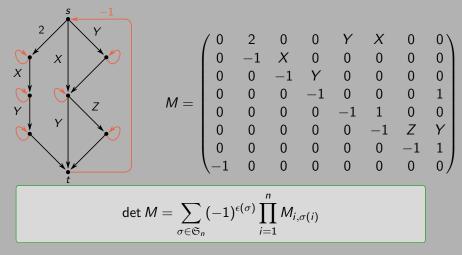
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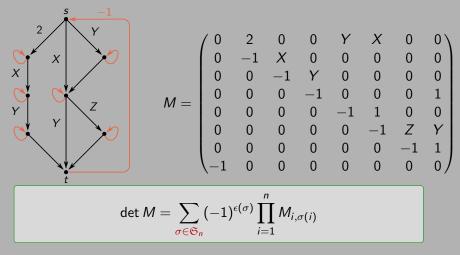


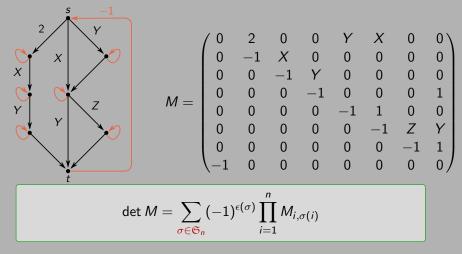
M =	$ \left(\begin{array}{c} 0\\ 0\\ 0\\ 0 \end{array}\right) $	$2 \\ -1 \\ 0 \\ 0$	0 X -1 0	0 0 <i>Y</i> -1	Y 0 0	X 0 0	0 0 0 0 <i>Z</i> -1 0	0 0 0
	0	0 0	0 0	0 0	$-1 \\ 0$	$1 \\ -1$	0 <i>Z</i>	0 Y
	0	0	0	0	0	0	-1	1
	$\setminus -1$	0	0	0	0	0	0	0/

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- Up to signs, det(M) = sum of the weights of the cycle covers of G

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$$\det A = \sum_{\sigma \in \mathfrak{S}_n} (-1)^{\epsilon(\sigma)} \prod_{i=1}^n A_{i,\sigma(i)}$$
$$\det \begin{pmatrix} a & b & c \\ d & e & f \\ g & h & i \end{pmatrix} = aei + bfg + cdh - afh - bdi - ceg$$

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Theorem (G.'12)

There exists a **branching program of size** 2^n representing the **permanent of dimension** *n*.

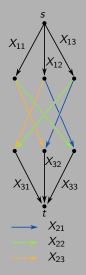
Branching Program for the Permanent

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Permanent versus Determinant

Corollary

The **permanent of dimension** *n* is a projection of the **determinant of dimension** $N = 2^n - 1$.

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$$\operatorname{per} \begin{pmatrix} a & b & c \\ d & e & f \\ g & h & i \end{pmatrix} = \operatorname{det} \begin{pmatrix} 0 & a & d & g & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & i & f & 0 \\ 0 & 0 & 1 & 0 & 0 & c & i \\ 0 & 0 & 0 & 1 & c & 0 & f \\ e & 0 & 0 & 0 & 1 & 0 & 0 \\ h & 0 & 0 & 0 & 0 & 1 & 0 \\ b & 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}$$

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Proposition (Liu-Regan'06, G.-Kaltofen-Koiran-Portier'11)

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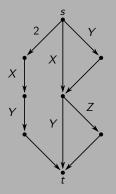
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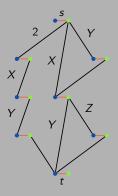
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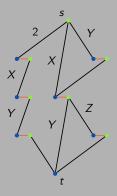
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- ► Weakly-skew circuit of size s with i inputs → Symmetric determinant of dimension 2(s + i) + 1

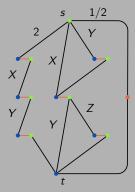




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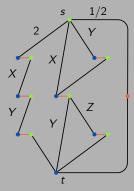




S

Factorization of lacunary polynomials

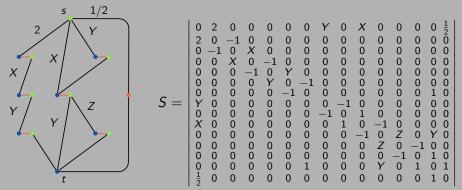
From Branching Programs to Symmetric Determinants



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Factorization of lacunary polynomials

From Branching Programs to Symmetric Determinants



Corollary

Let *M* be an $(n \times n)$ matrix. Then there exists a symmetric matrix *S* of dimension $\frac{2}{3}n^3 + o(n^3)$ s.t. det *M* = det *S*.

Same **expressiveness**:

(Weakly-)Skew circuits

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- Weakly-)Skew circuits
- Branching Programs

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Theorem (G.-Monteil-Thomassé'12)

In characteristic 2, some polynomials cannot be represented by a symmetric determinant.

Same **expressiveness**:

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- Symmetric Determinants in characteristic $\neq 2$

Theorem (G.-Monteil-Thomassé'12)

In characteristic 2, some polynomials cannot be represented by a symmetric determinant.

Main open question (Algebraic "P = NP?")

What is the **smallest** N s.t. the **permanent of dimension** n is a projection of the **determinant of dimension** N?

3. Factorization of lacunary polynomials

$-X^{6} - X^{2}Y + X^{5}Y + XY^{2} - X^{4}YZ - Y^{2}Z + X^{4}Z^{2} + YZ^{2}$

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$$-X^{6} - X^{2}Y + X^{5}Y + XY^{2} - X^{4}YZ - Y^{2}Z + X^{4}Z^{2} + YZ^{2}$$

$$= (X - Y + Z)(X^4 + Y)(Z - X)$$

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Find F_1, \ldots, F_t s.t. $P = F_1 \times \cdots \times F_t$

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$$P(X_1,\ldots,X_n)=\sum_{j=1}^k a_j X_1^{\alpha_{1j}}\cdots X_n^{\alpha_{nj}}$$

$$\implies \mathsf{size}(P) = \sum_{j=1}^k \mathsf{size}(a_j) + \log(\alpha_{1j}) + \dots + \log(\alpha_{nj})$$

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Factorization of sparse univariate polynomials

$$P(X) = \sum_{j=1}^{k} a_j X^{\alpha_j}$$
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Proposition (Lenstra'99)

Polynomial-time algorithm to find factors of degree $\leq d$ if $a_j \in \mathbb{K}$, where \mathbb{K} is an algebraic number field.

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Factorization of lacunary polynomials

Proposition (Kaltofen-Koiran'05)

Polynomial-time algorithm to find linear factors of bivariate lacunary polynomials over \mathbb{Q} .

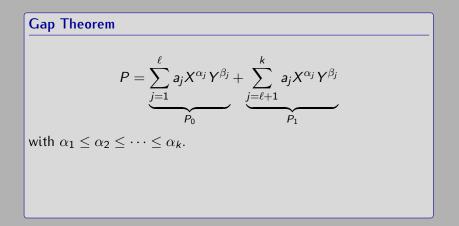
Factorization of lacunary polynomials

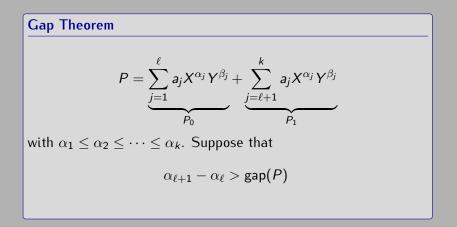
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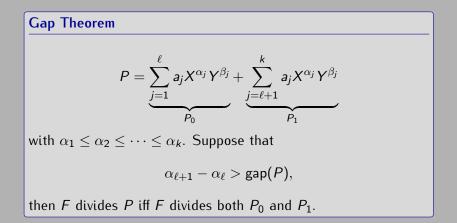
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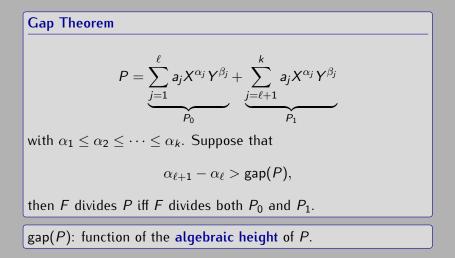
Proposition (Kaltofen-Koiran'06)

Polynomial-time algorithm to find **low-degree factors** of **multi-variate** lacunary polynomials over algebraic number fields.









Theorem (Chattopadhyay-G.-Koiran-Portier-Strozecki'12)

Polynomial time algorithm to find **multilinear** factors of **bivariate** lacunary polynomials over algebraic number fields.

Linear factors of bivariate lacunary polynomials

[Kaltofen-Koiran'05]

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gap(P) independent of the height

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- Extension to multilinear factors

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Polynomial time algorithm to find **multilinear** factors of **bivariate** lacunary polynomials over algebraic number fields.

Linear factors of bivariate lacunary polynomials

[Kaltofen-Koiran'05]

- gap(P) independent of the height
 - ---> More elementary algorithms
 - --- Gap Theorem valid over any field of characteristic 0
- Extension to **multilinear** factors
- Results in positive characteristics

$$P(X,Y) = \sum_{j=1}^{k} a_j X^{\alpha_j} Y^{\beta_j}$$

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Observation

$$(Y - uX - v)$$
 divides $P(X, Y) \iff P(X, uX + v) \equiv 0$

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Definition

 $val(P) = degree of the lowest degree monomial of <math>P \in \mathbb{K}[X]$

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 $> X^{\alpha_j}(uX + v)^{\beta_j}$ linearly independent

Definition

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▶ Hajós' Lemma: if $\alpha_1 = \cdots = \alpha_k$, val $(P) \leq \alpha_1 + (k-1)$

The Wronskian

Definition

Let $f_1, \dots, f_k \in \mathbb{K}[X]$. Then $W(f_1, \dots, f_k) = \det \begin{bmatrix} f_1 & f_2 & \dots & f_k \\ f'_1 & f'_2 & \dots & f'_k \\ \vdots & \vdots & & \vdots \\ f_1^{(k-1)} & f_2^{(k-1)} & \dots & f_k^{(k-1)} \end{bmatrix}$.

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Proposition (Bôcher, 1900)

 $W(f_1, \ldots, f_k) \neq 0 \iff$ the f_j 's are linearly independent.

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Wronskian & valuation

Lemma

$$\mathsf{val}(\mathsf{W}(f_1,\ldots,f_k)) \geq \sum_{j=1}^k \mathsf{val}(f_j) - \binom{k}{2}$$

Wronskian & valuation

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Lemma

Let $f_j = X^{\alpha_j} (uX + v)^{\beta_j}$, linearly independent, s.t. $\alpha_j, \beta_j \ge k - 1$. $\mathsf{val}(\mathsf{W}(f_1, \dots, f_k)) \le \sum_{j=1}^k \alpha_j$

Wronskian & valuation

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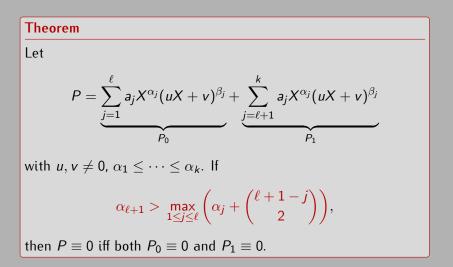
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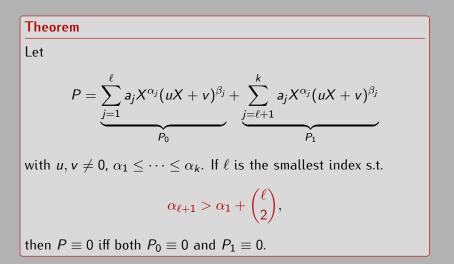
Proof of the theorem.

$$\sum_{j=1}^k lpha_j \ge \mathsf{val}(\mathsf{W}(f_1,\ldots,f_k)) \ge \mathsf{val}(P) + \sum_{j=2}^k lpha_j - \binom{k}{2}$$

Gap Theorem



Gap Theorem



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Find linear factors of low-degree polynomials
 ~~ [Kaltofen'82, ..., Lecerf'07]

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- Find linear factors of low-degree polynomials
 ~~ [Kaltofen'82, ..., Lecerf'07]
- K: algebraic number field

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More elementary proofs for [Kaltofen-Koiran'05]

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Main open problem

Extend to low-degree factors of multivariate polynomials

Representations of polynomials, algorithms and lower bounds

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Representations of polynomials:

• By circuits, branching programs, (symmetric) determinants

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